

Signal Encoding Techniques

Raj Jain
Washington University
Saint Louis, MO 63131
Jain@cse.wustl.edu

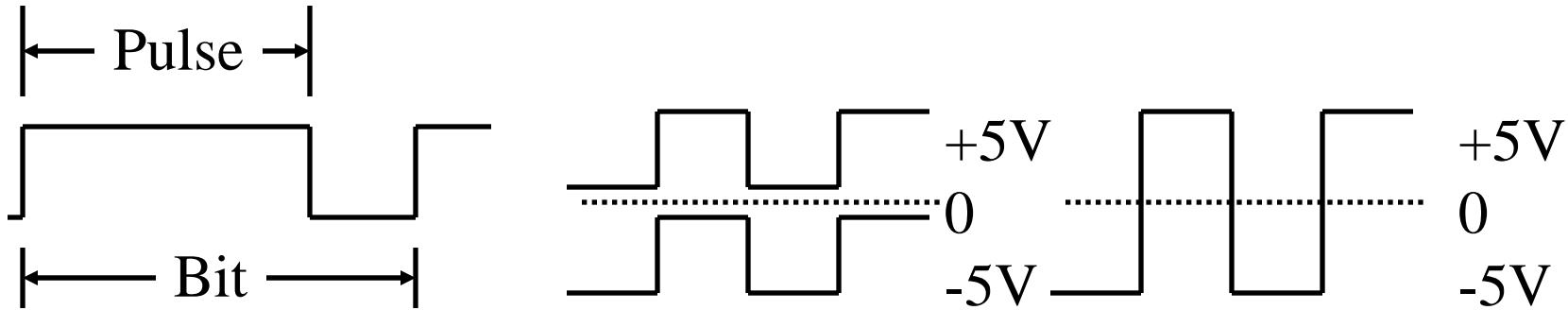
These slides are available on-line at:

<http://www.cse.wustl.edu/~jain/cse473-05/>



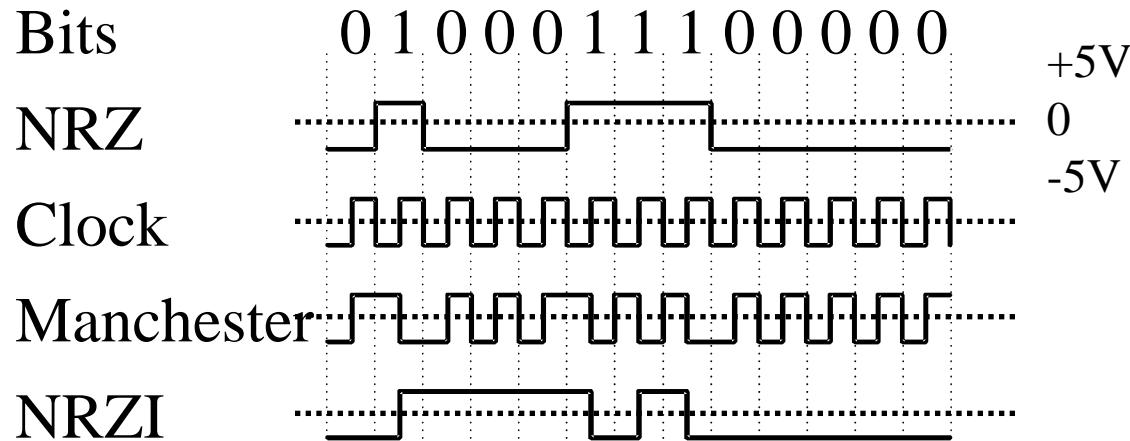
1. Coding Terminology and Design issues
2. Digital Data, Digital Signal: AMI, Manchester, etc.
3. Digital Data, Analog Signals: ASK, FSK, PSK, QAM
4. Analog Data, Digital Signals: PCM, Companding
5. Analog Data, Analog Signals: AM, FM

Coding Terminology



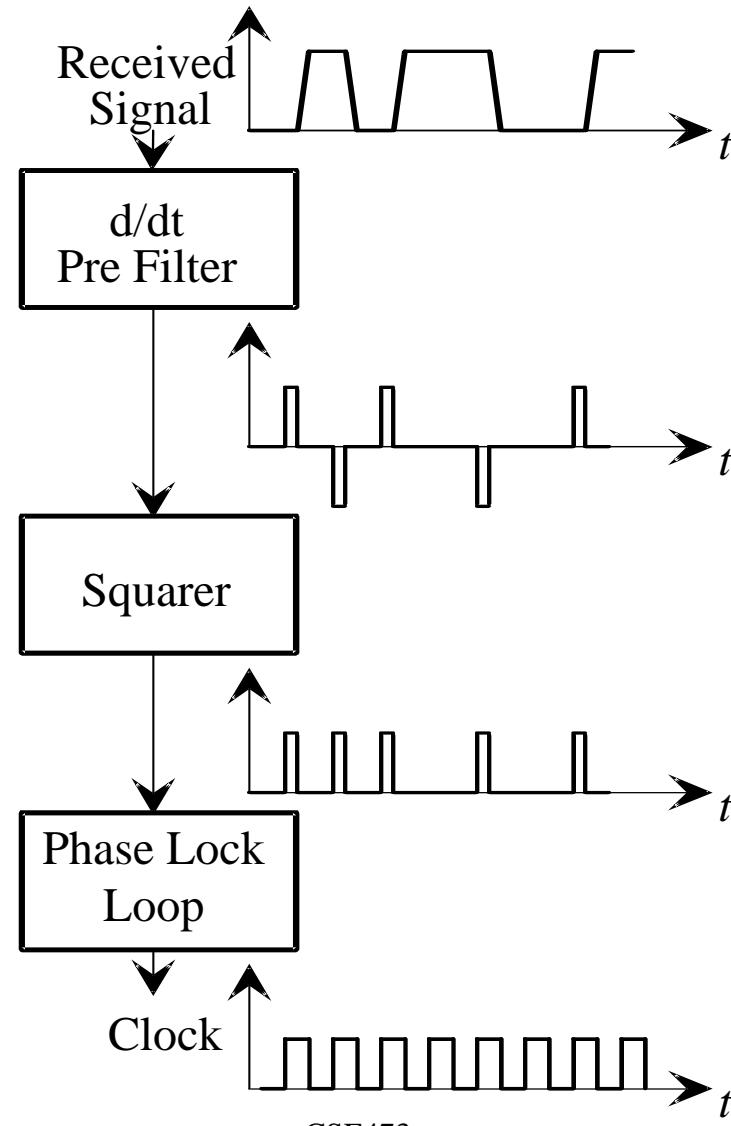
- **Signal element:** Pulse (of constant amplitude, frequency, phase)
- **Unipolar:** All positive or All negative voltage
- **Bipolar:** Positive and negative voltage
- **Mark/Space:** 1 or 0
- **Modulation Rate:** $1/\text{Duration of the smallest element}$
=Baud rate
- **Data Rate:** Bits per second
- Data Rate = $F_n(\text{Bandwidth, signal/noise ratio, encoding})$

Coding Design



1. Pulse width indeterminate: Clocking
2. DC, Baseline wander
3. No line state information
4. No error detection/protection
5. No control signals
6. High bandwidth
7. Polarity mix-up \Rightarrow Differential (compare polarity)

Clock Recovery Circuit



Digital Signal Encoding Formats

- **Return-to-Zero (RZ)**

0 = Remain at zero, 1 = +ve for $\frac{1}{2}$ bit duration

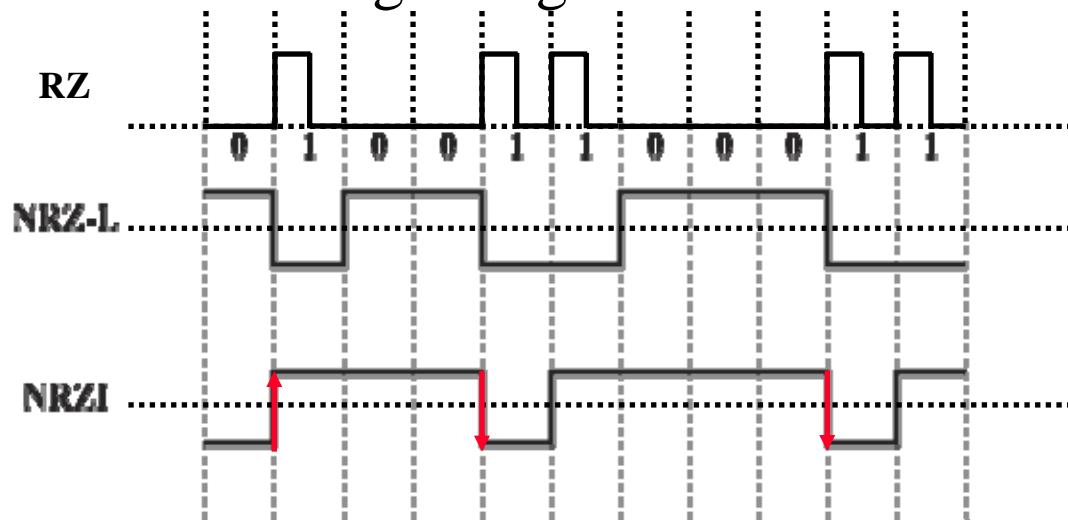
- **Nonreturn-to-Zero-Level (NRZ-L)**

0 = high level, 1 = low level

- **Nonreturn to Zero Inverted (NRZI)**

0 = no transition at beginning of interval (bit time)

1 = transition at beginning of interval



Multi-level Binary Encoding

❑ Bipolar-AMI:

0 = no line signal

1= +ve or -ve for successive 1's

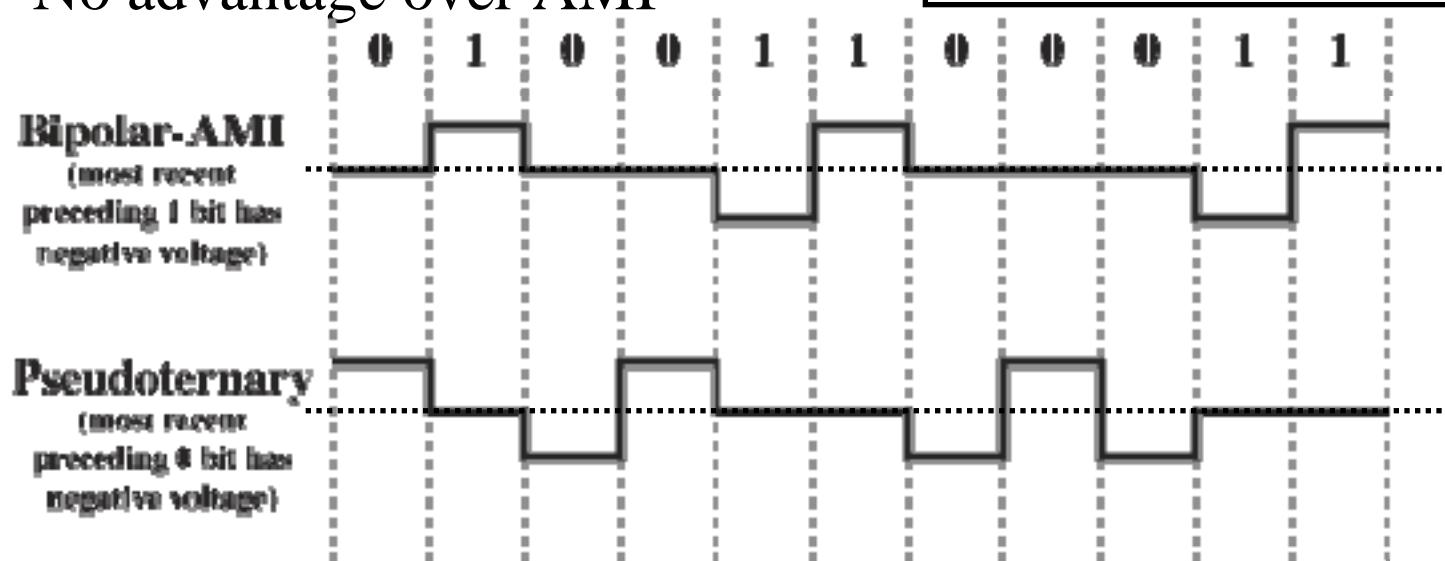
❑ Pseudo-ternary:

0 = +ve or -ve for successive 0's

1= no line signal

No advantage over AMI

1. No loss of sync with 1's
2. zeros are a problem
3. No net dc component
4. Error detection
Noise \Rightarrow violation
5. Two bits/Hz
6. 3 dB higher S/N
7. 2b/Hz. Not 3.16 b/Hz



Bi-phase

❑ Manchester:

0 = High to low transition in middle

1 = Low to high transition in middle

❑ Differential Manchester:

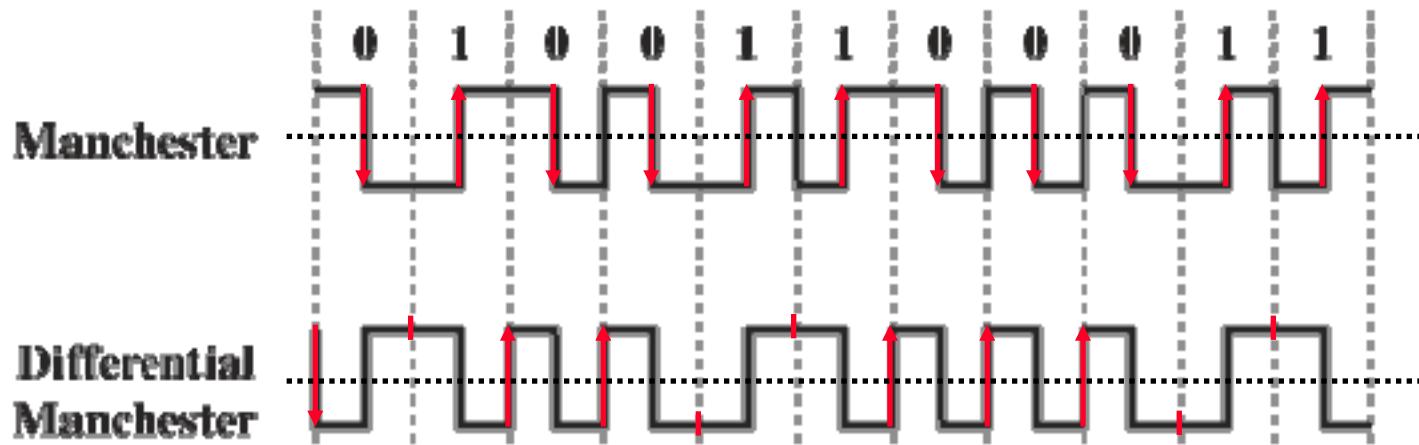
Used in Token Ring

Always a transition in middle

0 = transition at beginning

1= no transition at beginning

1. No DC
2. Clock sync
3. Error detection
4. 1 bit/Hz,
5. baud rate
 $= 2 \times \text{bit rate}$



Scrambling

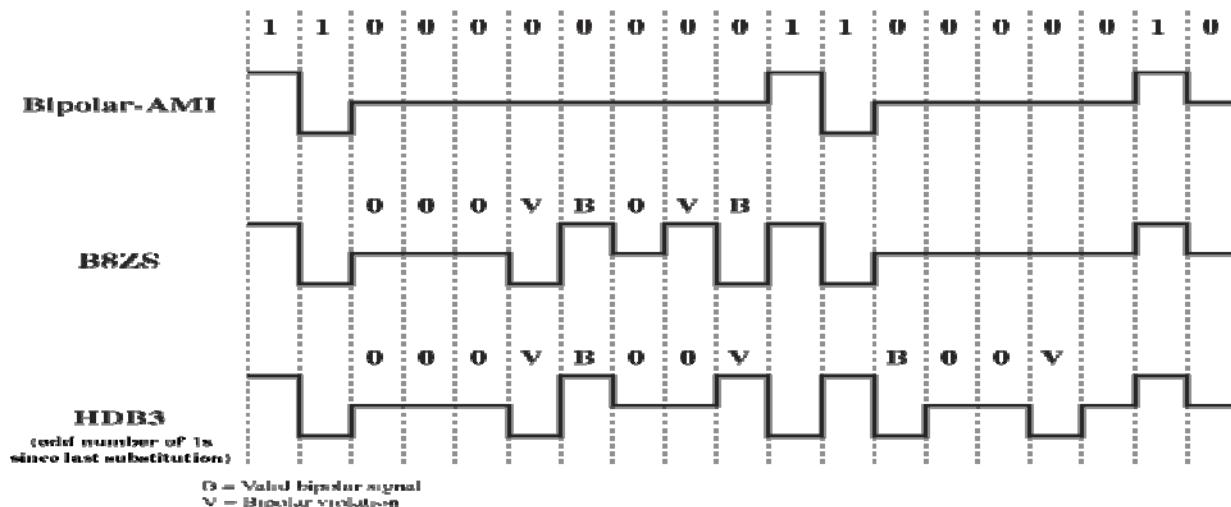
❑ Bipolar with 8-Zero Substitution (B8ZS):

Same as AMI, except eight 0's replaced w two code violations

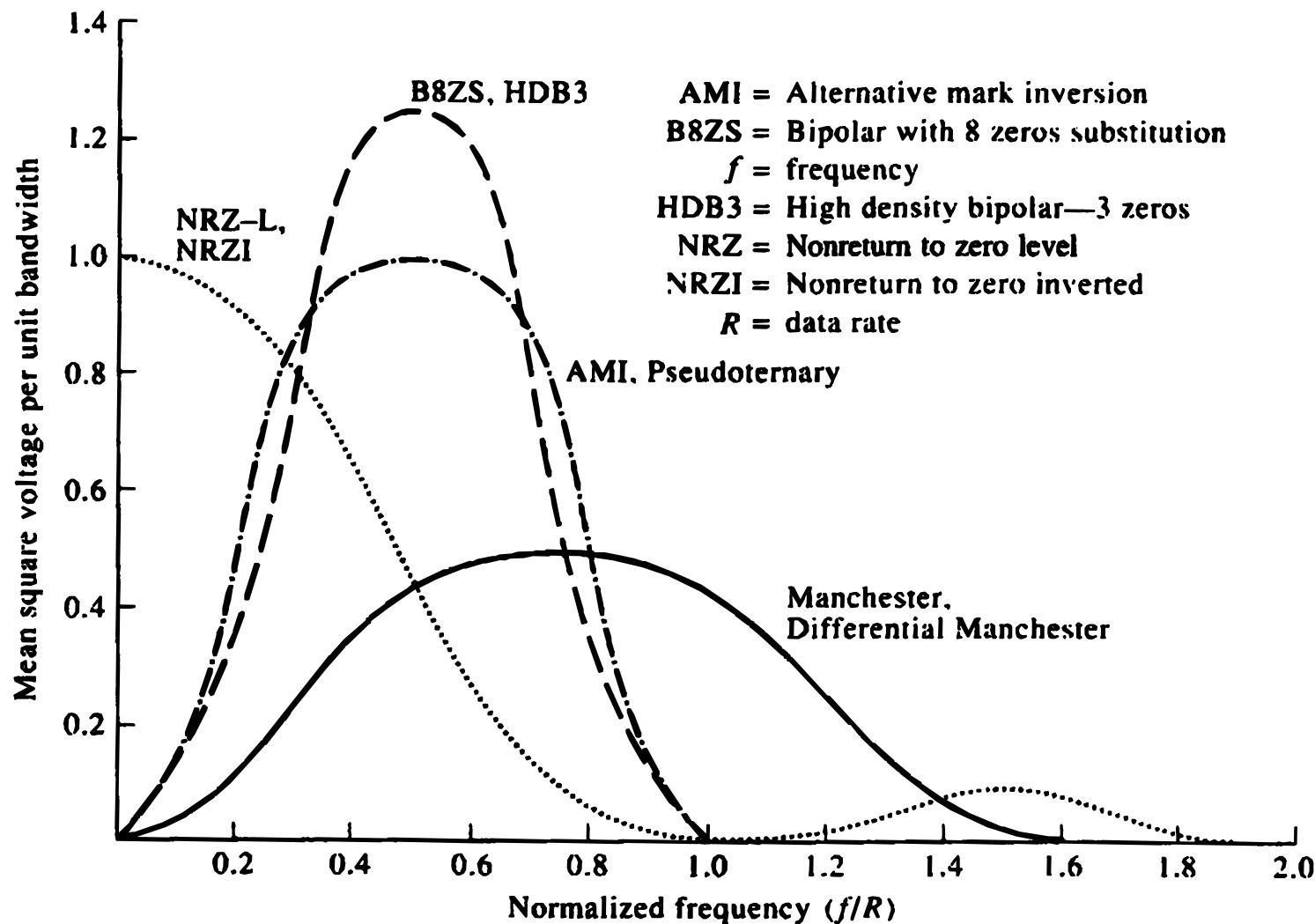
$$0000 \ 0000 = 000V \ 10V1$$

❑ High Density Bi-polar w 3 Zeros (HDB3): Same as AMI, except that four 0's replaced with one code violation

0000 = 000V if odd number of ones since last substitution
100V otherwise

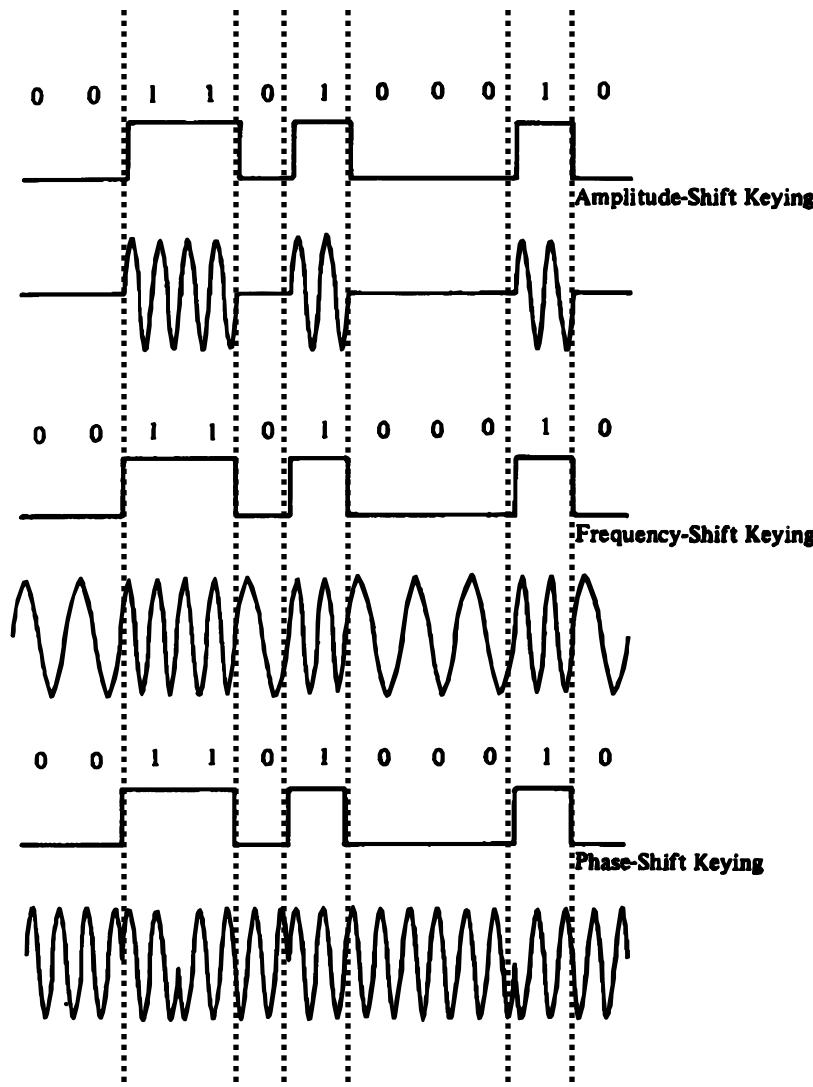


Signal Spectrum



Digital Data Analog Signals

ASK



$$A \sin(2\pi ft + \theta)$$

Used in
Optical Nets

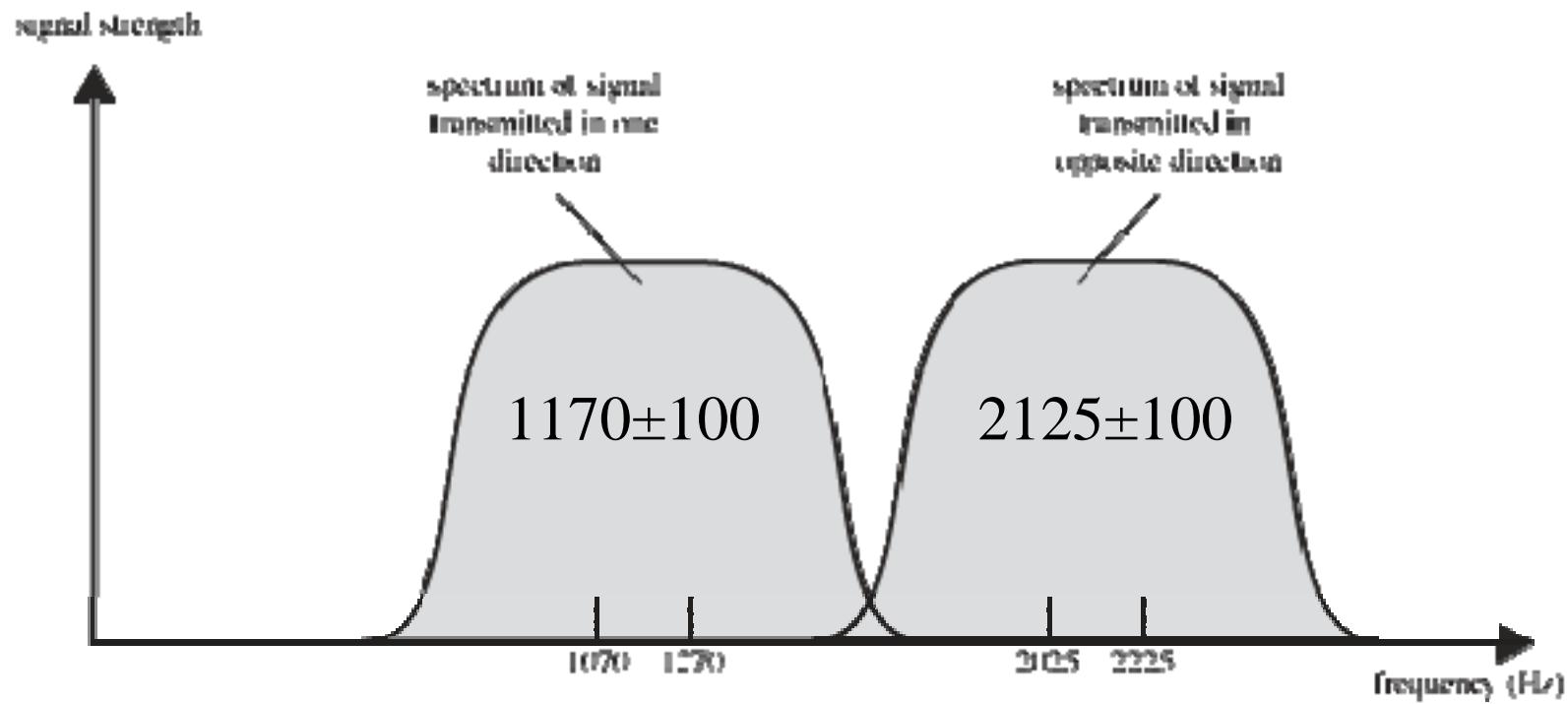
FSK

Used in
300-1200 bps
modems

FSK

Frequency Shift Keying (FSK)

- ❑ Less susceptible to errors than ASK
- ❑ Used in 300-1200 bps on voice grade lines

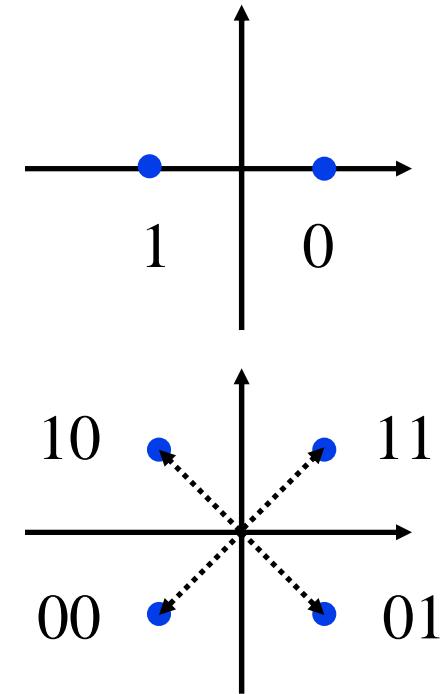


Phase-Shift Keying (PSK)

- **Differential PSK:**

0 = Same phase, 1=Opposite phase

$A \cos(2\pi ft)$, $A \cos(2\pi ft + \pi)$



- **Quadrature PSK (QPSK):** Two bits

11= $A \cos(2\pi ft + 45^\circ)$, 10= $A \cos(2\pi ft + 135^\circ)$,
00= $A \cos(2\pi ft + 225^\circ)$, 01= $A \cos(2\pi ft + 315^\circ)$

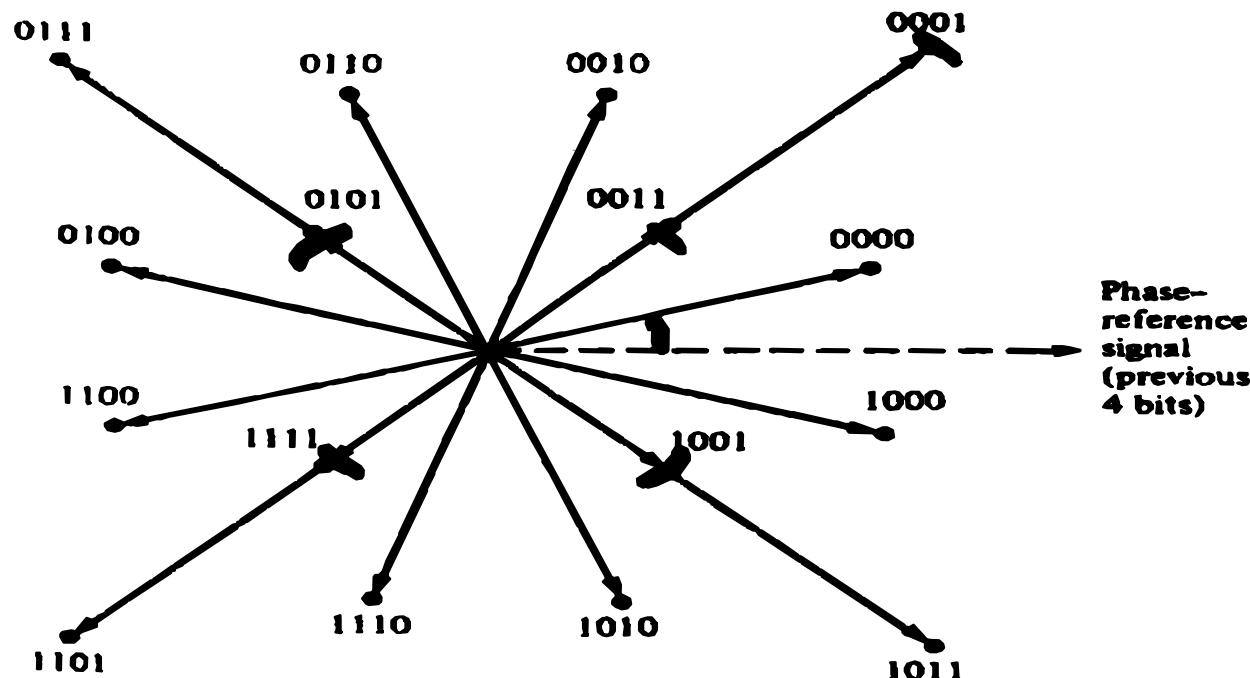
Sum of two signals 90° apart in phase
(In-phase I , Quadrature Q),

Up to 180° phase difference between successive intervals

- **Orthogonal QPSK (OQPSK):** Q stream delayed by 1 bit
Phase difference between successive bits limited to 90°

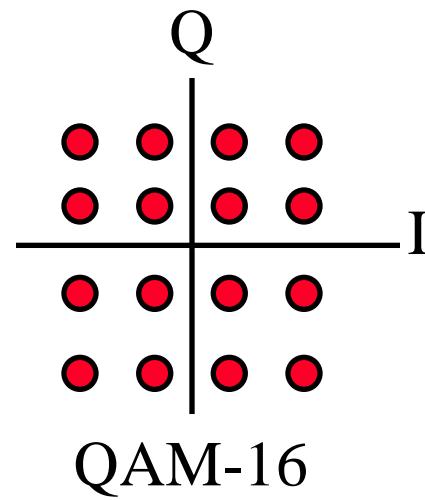
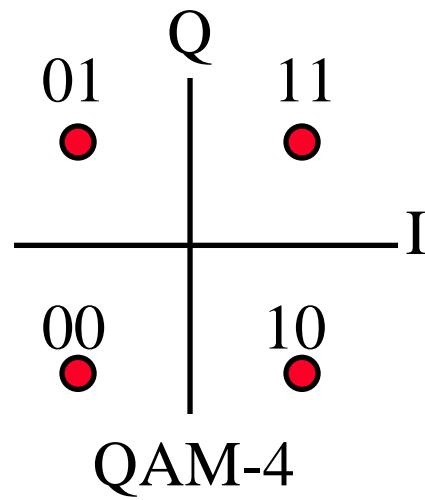
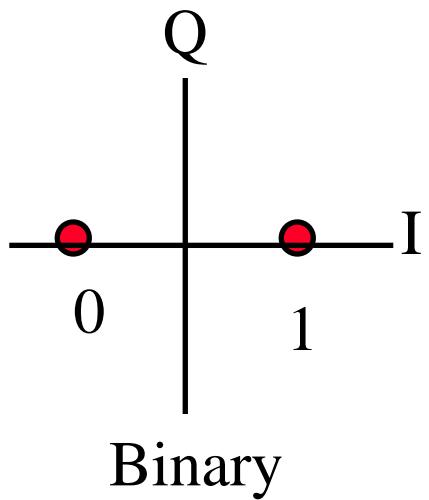
Multi-level PSK

- 9600 bps Modems use PSK with 4 bits
- 4 bits \Rightarrow 16 combinations
- 4 bits/element \Rightarrow 1200 baud
- 12 Phases, 4 with two amplitudes



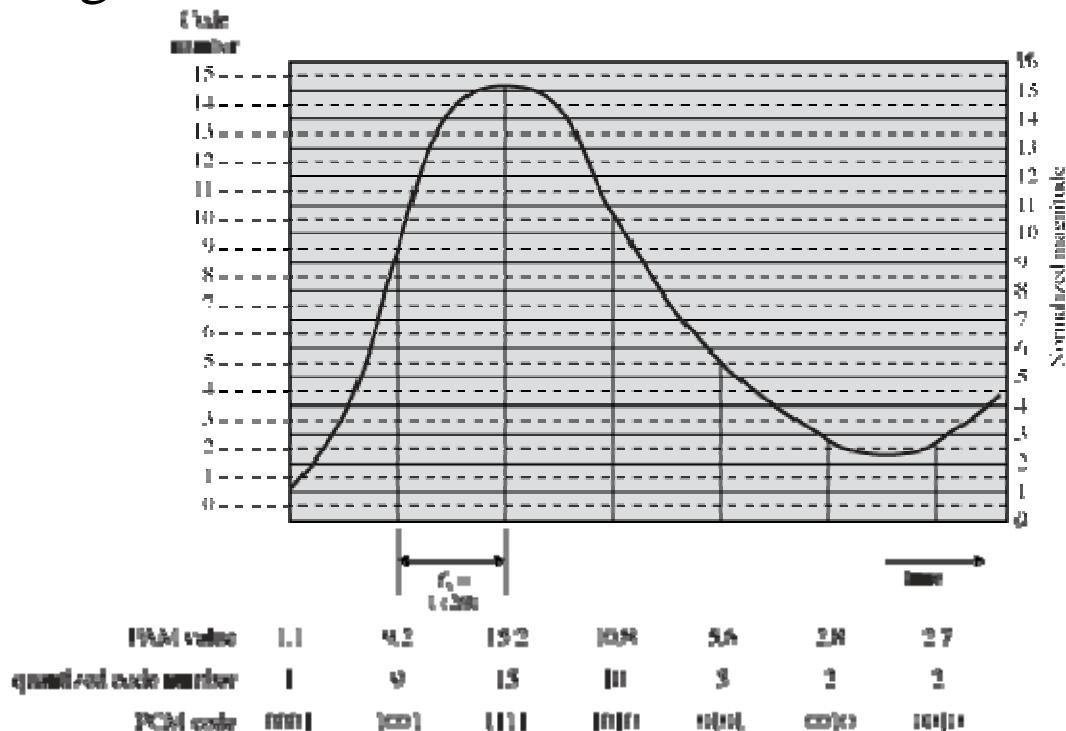
QAM

- ❑ Quadrature Amplitude and Phase Modulation
- ❑ QAM-4, QAM-16, QAM-64, QAM-256
- ❑ Used in DSL and wireless networks



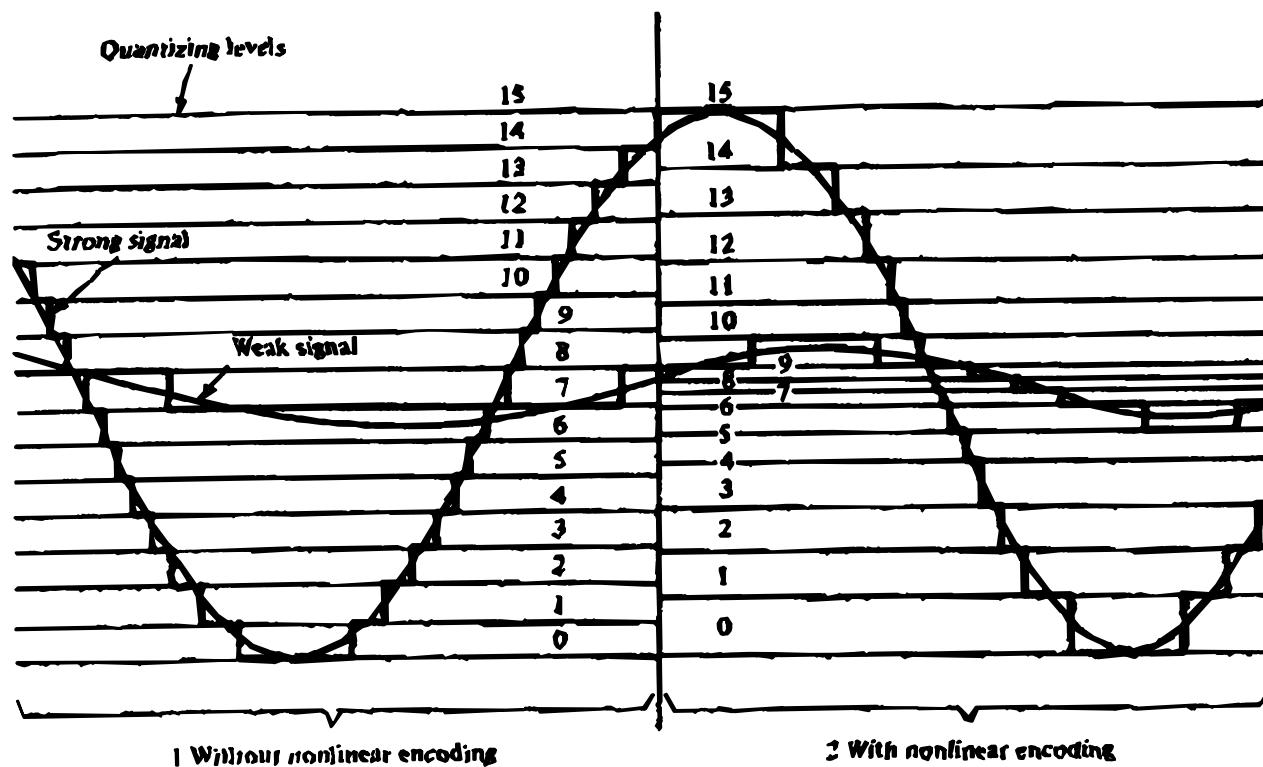
Analog Data, Digital Signals

- **Sampling Theorem:** $2 \times$ Highest Signal Frequency
- 4 kHz voice = 8 kHz sampling rate
8 k samples/sec \times 8 bits/sample = 64 kbps
- Quantizing Error with n bits: $S/N = 6.02n + 1.76$ dB



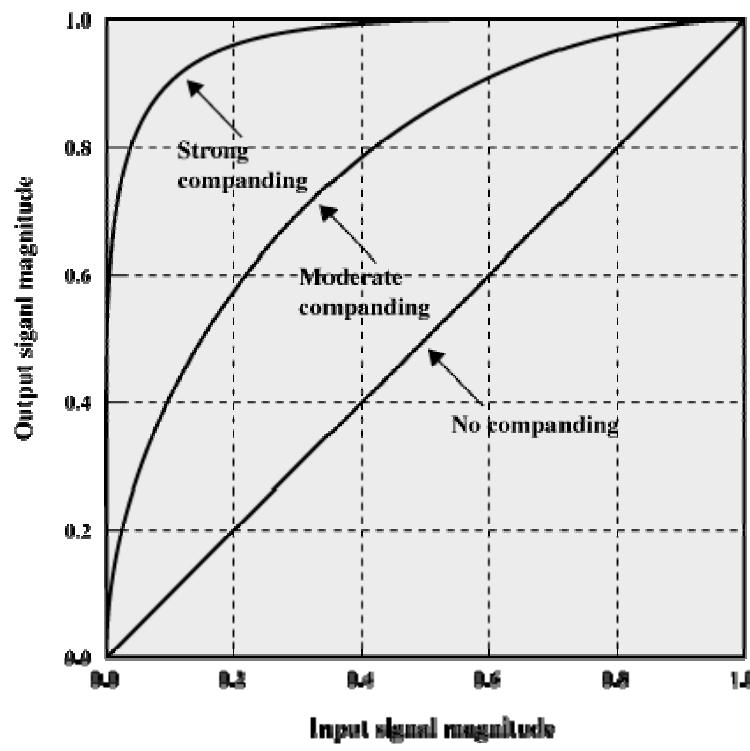
Nonlinear Encoding

- Linear: Same absolute error for all signal levels
- Non-linear: More steps for low signal levels



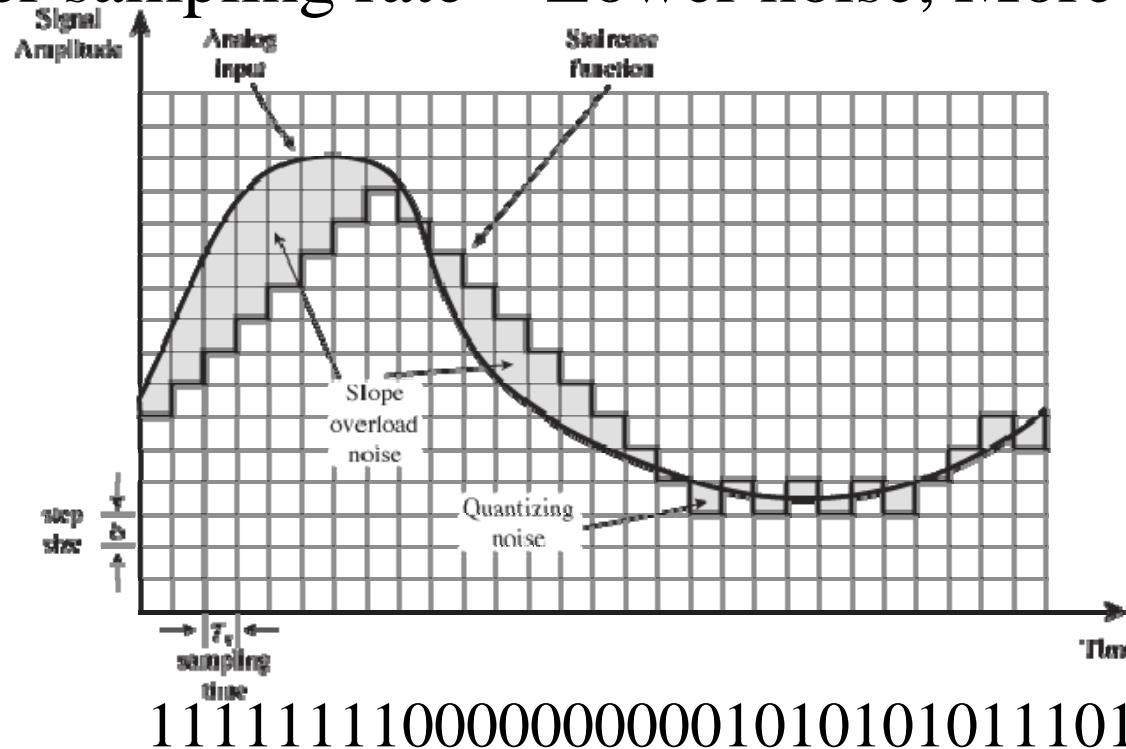
Companding

- Reduce the intensity range by amplifying weak signals more than the strong signals input
- Opposite is done at output



Delta Modulation

- 1 = Signal up one step, 0 = Signal down one step
- Larger steps \Rightarrow More quantizing noise,
Less slope overhead noise
- Higher sampling rate = Lower noise, More bits

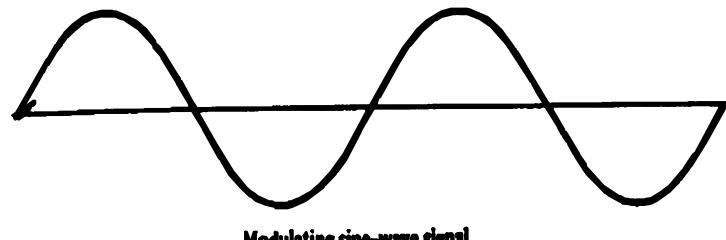
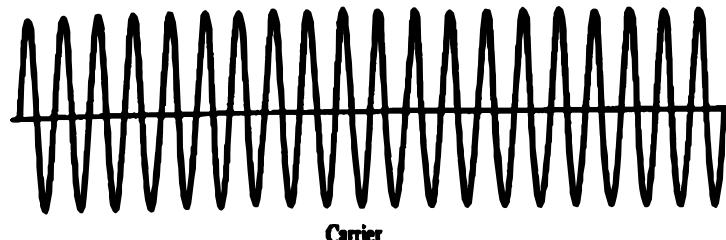


Analog Data, Analog Signals

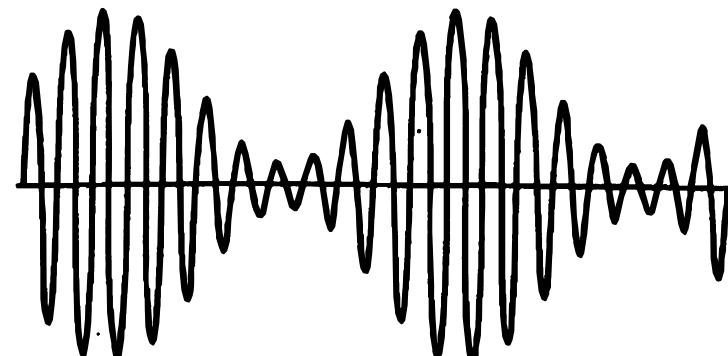
Amplitude Modulation (AM)

Frequency Modulation (FM)

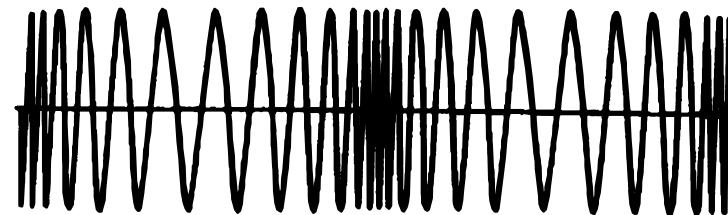
Phase Modulation (PM)



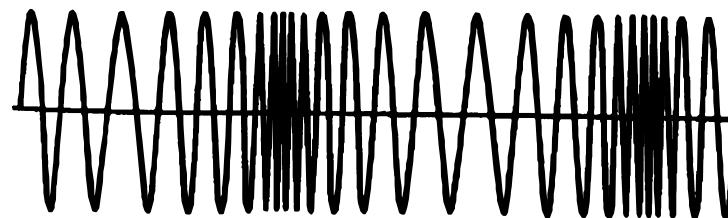
Both FM and PM are special cases of angle modulation



Amplitude-modulated (DSB-SC) wave



Phase-modulated wave



Frequency-modulated wave

Summary



- ❑ **Coding:** Higher data rate, error control, clock synchronization, line state indication, control signal
- ❑ **D-to-D:** RZ, NRZ-L, NRZI, Manchester, Bipolar, Biphase
- ❑ **D-to-A:** ASK, FSK, PSK, BPSK, QPSK, OQPSK, QAM
- ❑ **A-to-D:** PCM, Delta Modulation, Sampling theorem
- ❑ **A-to-A:** Amplitude, angle, frequency, phase modulation

Reading Assignment

- Read Chapter 5 of Stallings 7th edition.

Homework

- Submit answers to 5.10 (Bipolar violations) from Stallings 7th edition.