Message Authentication Codes



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Audio/Video recordings of this lecture are available at:

http://www.cse.wustl.edu/~jain/cse571-14/



- 1. Message Authentication
- 2. MACS based on Hash Functions: HMAC
- 3. MACs based on Block Ciphers: DAA and CMAC
- 4. Authenticated Encryption: CCM and GCM
- 5. Pseudorandom Number Generation Using Hash Functions and MACs

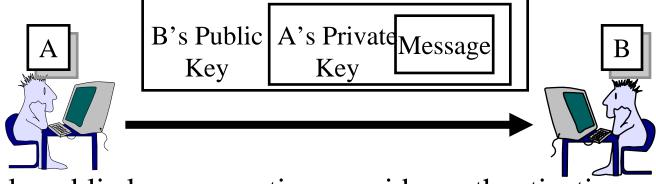
These slides are based partly on Lawrie Brown's slides supplied with William Stallings's book "Cryptography and Network Security: Principles and Practice," 6th Ed, 2013.

Message Security Requirements

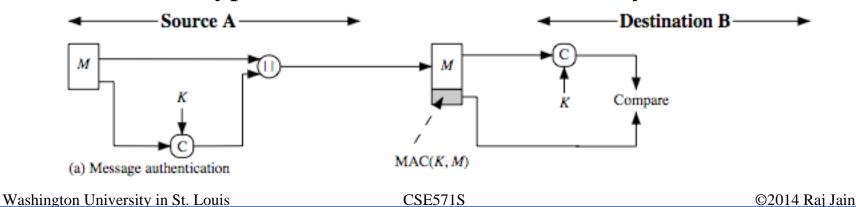
- Disclosure
- □ Traffic analysis
- Masquerade
- Content modification
- Sequence modification
- □ Timing modification
- Source repudiation
- Destination repudiation

Message Authentication = Integrity + Source Authentication

Public-Key Authentication and Secrecy



- □ Double public key encryption provides authentication and integrity. Double public key ⇒ Very compute intensive
- Crypto checksum (MAC) is better.
 Based on a secret key and the message.
 Can also encrypt with the same or different key.



MAC Properties

- □ A MAC is a cryptographic checksum $MAC = C_{\kappa}(M)$
 - > Condenses a variable-length message M using a secret key
 - > To a fixed-sized authenticator
- Is a many-to-one function
 - > Potentially many messages have same MAC
 - > But finding these needs to be very difficult
- Properties:
 - 1. It is infeasible to find another message with same MAC
 - 2. MACs should be uniformly distributed
 - 3. MAC should depend equally on all bits of the message
- □ Public key MACs provide non-repudiation. Secret key MACs do not.

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Security of MACs

- **Brute-force** attacks exploiting
 - > Strong collision resistant hash have cost min(2^k, 2ⁿ), k=size of key, n=size of the hash
 - > MACs with known message-MAC pairs
 - □ Can either attack keyspace (cf key search) or hash
 - □ 128-bit hash looks vulnerable, 160-bits better

HMAC Design Objectives

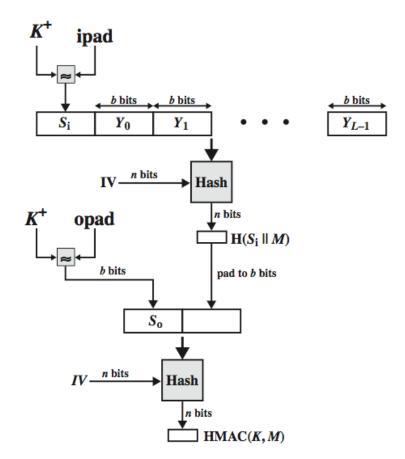
- \square Keyed Hash \Rightarrow includes a key along with message
- HMAC is a general design. Can use any hash function
 ⇒ HMAC-MD5, HMAC-AES
- Uses hash functions without modifications
- Allow for easy replace-ability of embedded hash function
- □ Preserve original performance of hash function without significant degradation
- Uses and handles keys in a simple way.
- Has well understood cryptographic analysis of authentication mechanism strength

HMAC

- □ RFC2104
- □ Uses hash function on the message:

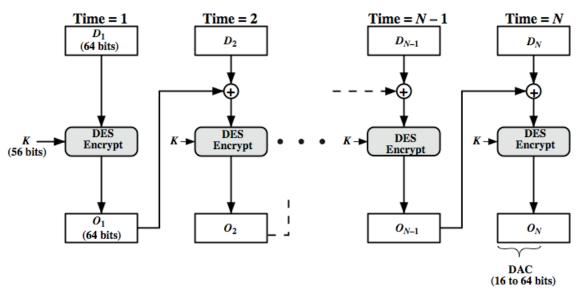
$$HMAC_{K}(M) = H[(K^{+} \oplus opad) || H[(K^{+} \oplus ipad) || M)]]$$

- > Where K^+ = key padded to b-bits or hashed to b-bits if |k|>b
- > b = block size for the hash
- > opad, ipad are constants
- ipad = 36 repeated b/8 times opad = 5C repeated b/8 times
- Any hash function can be used
 - > E.g., MD5, SHA-1, RIPEMD-160, Whirlpool
- Proved that security of HMAC relates to that of the underlying hash algorithm



Using Symmetric Ciphers for MACs

- Can use any block cipher chaining mode and use final block as a MAC
- **□ Data Authentication Algorithm (DAA)** = DES-CBC
 - > Using IV=0 and zero-pad of final block
- □ For single block message X, T=MAC(K, X)Weakness: T is also MAC of 2-block message $X||(X \oplus T)$

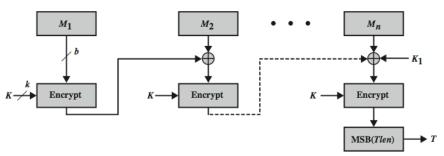


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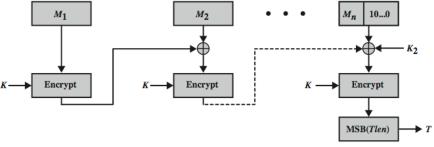
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Cipher-based Message Authentication Code (CMAC)

- Black and Rogaway fixed DAA problem by using 3 keys. Iwata updated by generating 3 keys from a single key.
- Adopted by NIST SP800-38B
- □ Two n-bit keys from a k-bit encryption key
- \Box L=E(K,0ⁿ)
- \square $K_1 = L \cdot x$
- \square $K_2 = L \cdot x^2$
- \square :=Multiplication in GF(2ⁿ)
- Using a irreducible polynomial with min 1's
 - $x^{64} + x^4 + x^3 + x + 1$ for 64 bits
 - $\rightarrow x^{128} + x^7 + x^2 + x + 1$ for 128 bits



(a) Message length is integer multiple of block size



(b) Message length is not integer multiple of block size

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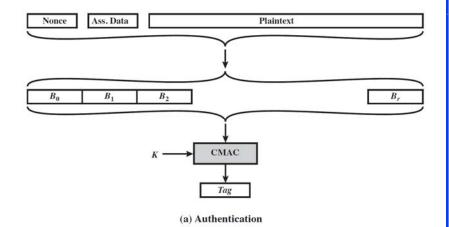
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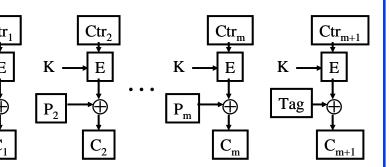
Authenticated Encryption

- Confidentiality + Integrity:
- 1. Hash-then-encrypt: E(K, (M || H(M)))
- 2. MAC-then-encrypt: $E(K_2, (M \parallel MAC(K_1, M)))$ Used in SSL/TLS
- 3. Encrypt-then-MAC: $(C=E(K_2, M), T=MAC(K_1, C))$ Used in IPsec
- 4. Encrypt-and-MAC: $(C=E(K_2, M), T=MAC(K_1, M))$ Used in SSH
- But security vulnerabilities with all these
- NIST fixed these vulnerabilities with CCM and GCM

CCM

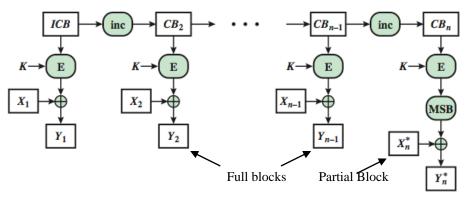
- Counter with Cipher Block Chaining-MAC
- NIST SP 800-38C for WiFi
- Algorithmic ingredients
 - > AES encryption algorithm
 - > CTR mode of operation
 - > CMAC authentication algorithm
- □ Single key for both encryption ^K & MAC
- Counters are generated using a counter generation function
- 2 passes over plaintext: MAC+E
- Associate data = headers in clear



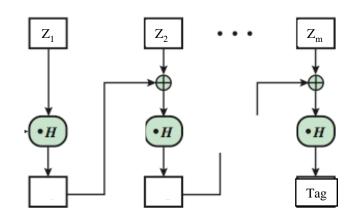


Galois/Counter Mode (GCM)

- NIST standard SP 800-38D, parallelizable
- Uses two functions:
 - > GCTR CTR mode with incremented counter
 - GHASH a keyed hash function
- □ GHASH: plaintext xor'ed with feedback and *multiplied* with hash key H in GF(2¹²⁸) to generate authenticator tag H=E[K,0¹²⁸]
- Z_i's are constructed from the encrypted text Y_i and associated data
- MAC-only mode also



(b) $GCTR_K(ICB, X_1 \parallel X_2 \parallel \ldots \parallel X_n^*) = Y_n^*$



(a) $GHASH_H(X_1 \parallel X_2 \parallel \ldots \parallel X_m) = Tag$

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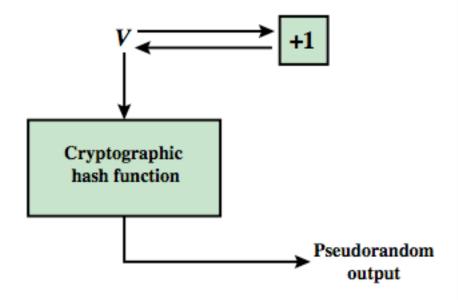
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PRNG Using Hash and MACs

- Essential elements of Pseudo-Random Number generation:
 - Seed value
 - > Deterministic algorithm
- Seed must be known only as needed
- PRNG can be based on
 - 1. Encryption algorithm
 - 2. Hash function (ISO18031 & NIST SP 800-90)
 - 3. MAC (NIST SP 800-90)

PRNG using a Hash Function

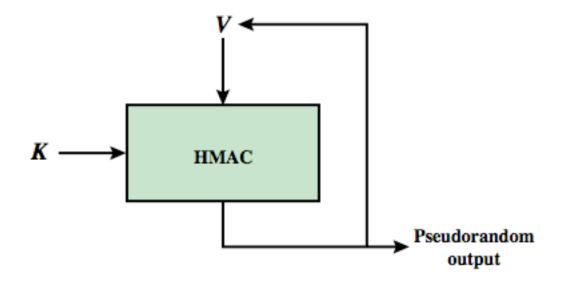
- SP800-90 and ISO18031
 - > Take seed V
 - > Repeatedly add 1
 - > Hash V
 - Use n-bits of hash as random value
- Secure if good hash used



(a) PRNG using cryptographic hash function

PRNG using a MAC

- □ SP800-90, IEEE 802.11i, TLS
 - > Use key
 - > Input based on last hash in various ways



(b) PRNG using HMAC



Summary

- 1. Message authentication = Integrity + Source Authentication (with or without encryption)
- 2. Double public key encryption can be used but complex ⇒ Hash with a secret key
- 3. HMAC is a general procedure usable with any hash function ⇒ HMAC-MD5, HMAC-AES
- 4. Data Authentication Algorithm (DAA) was found insecure ⇒ Fixed by CMAC using keys derived from a single key
- 5. Authenticated Encryption:
 - 1. CCM = CMAC + Counter mode
 - 2. $GCM = Multiplication in GF(2^{128}) + Counter mode$
- 6. Pseudorandom Number Generation (PRNG) using Hash Functions and MACs

Homework 12

- 12.6 There are four general approaches in authenticated encryption: HtE, MtE, EtM, and E&M.
- A. Which approach is used for CCM?
- B. Which approach is used for GCM?