

Introduction to 5G



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Slides and Audio/Video recordings of this class lecture are available at:

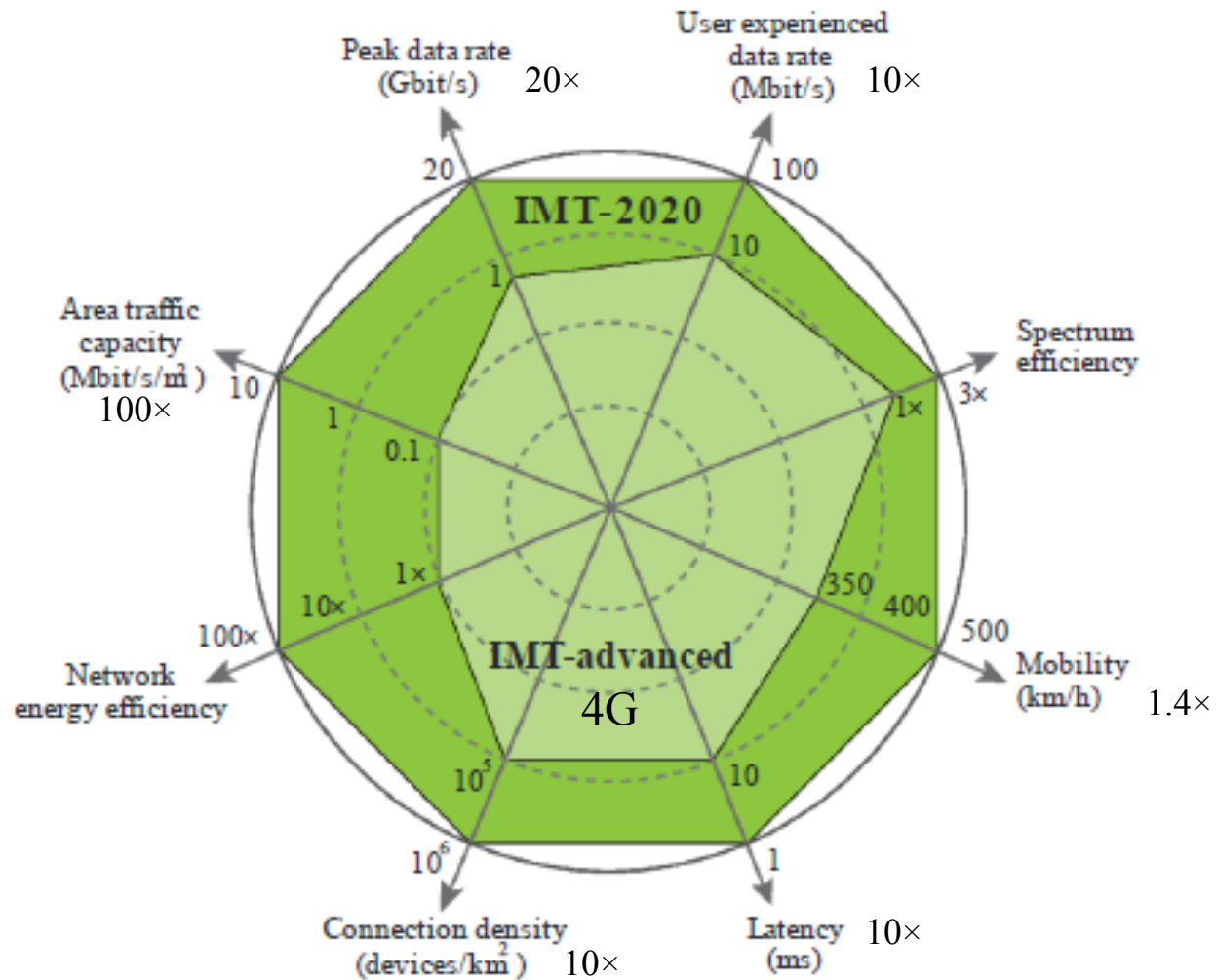
<http://www.cse.wustl.edu/~jain/cse574-16/>



1. What: 5G Definition
2. How:
 1. New Radio Multiplexing Technologies
 2. New Efficient Spectrum Usage Techniques
 3. New Energy Saving Mechanisms
 4. CapEx/OpEx Reduction Techniques
 5. New Spectrum
 6. Application Specific Improvements

Note: This is the 4th module in a series of lectures on 2G/3G, LTE, LTE-Advanced, and 5G

5G Definition



Ref: ITU-R Recommendation M.2083-0, "IMT Vision – Framework and overall objectives of the future development of IMT for 2020 and beyond," Sep. 2015, 21 pp., https://www.itu.int/dms_pubrec/itu-r/rec/m/R-REC-M.2083-0-201509-1!!PDF-E.pdf

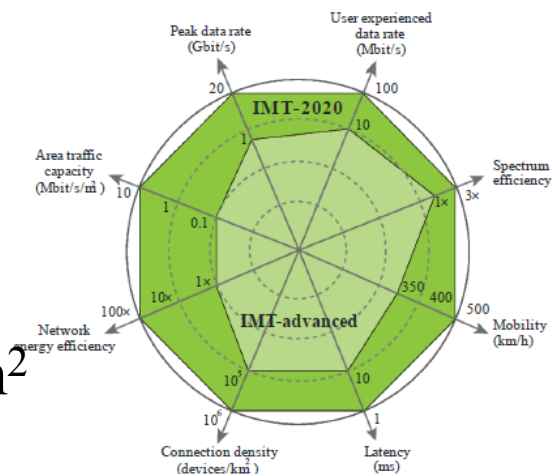
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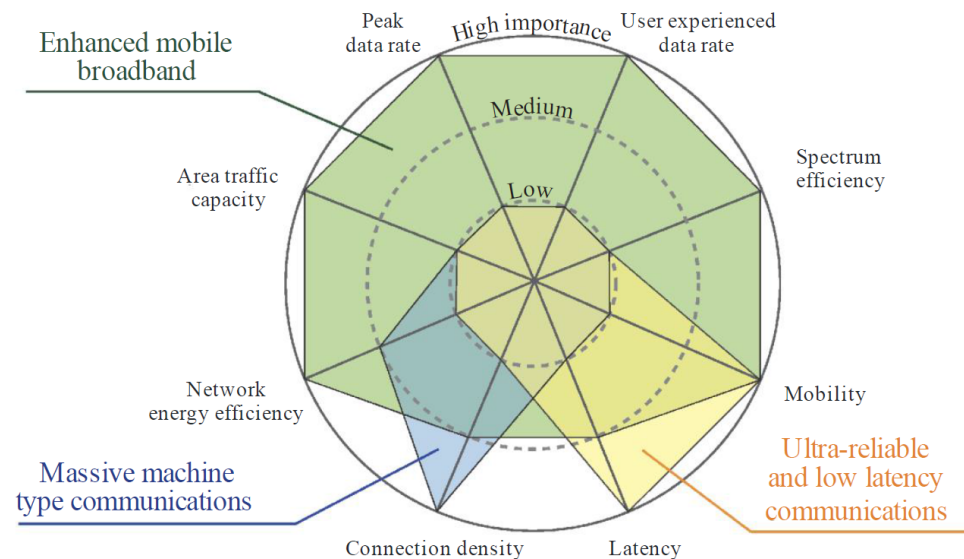
5G Definition (Cont)

1. Peak Data Rate: max rate per user under ideal conditions. 10 Gbps for mobiles, 20 Gbps under certain conditions.
2. User experienced Data Rate: Rate across the coverage area per user. 100 Mbps in urban/suburban areas. 1 Gbps hotspot.
3. Latency: Radio contribution to latency between send and receive
4. Mobility: Max speed at which seamless handover and QoS is guaranteed
5. Connection Density: Devices per km^2
6. Energy Efficiency: Network bits/Joule, User bits/Joule
7. Spectrum Efficiency: Throughput per Hz per cell
8. Area Traffic Capacity: Throughput per m^2



Importance

- ❑ Three Key Application Areas:
 - Enhanced Mobile Broadband
 - Ultra-Reliable and Low Latency: Real-time, safety
 - Massive Machine Type Communications



Ref: ITU-R Recommendation M.2083-0, "IMT Vision – Framework and overall objectives of the future development of IMT for 2020 and beyond," Sep. 2015, 21 pp., https://www.itu.int/dms_pubrec/itu-r/rec/m/R-REC-M.2083-0-201509-1!!PDF-E.pdf

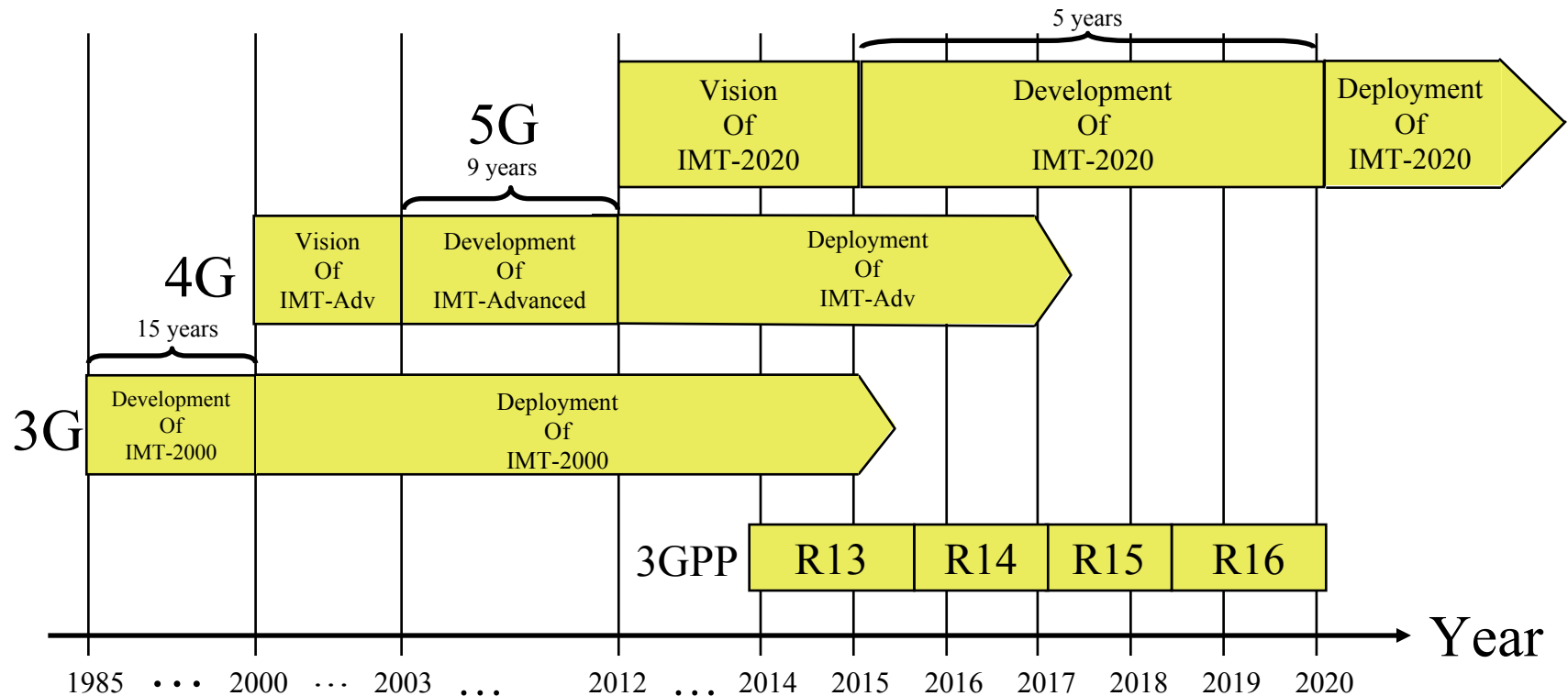
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Timeline

- ❑ 3G: IMT-2000 started in 1985, first release in 2000
- ❑ 4G: IMT-Advanced, vision in 2003, First release in 2012
- ❑ 5G: IMT-2020, vision in 2015, first release in 2020



Ref: ITU-R, "Workplan, timeline, process and deliverables for the future development of IMT," 4pp.,
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How?

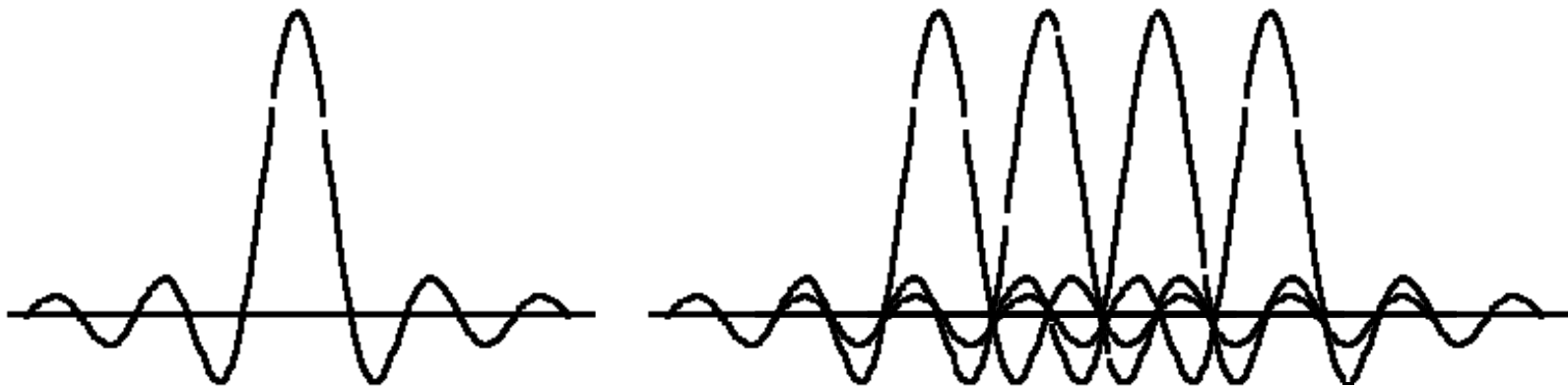
1. New Radio Multiplexing Technologies
2. New Efficient Spectrum Usage Techniques
3. New Energy Saving Mechanisms
4. CapEx/OpEx Reduction Techniques
5. New Spectrum
6. Application Specific Improvements

New Radio Multiplexing Technologies

1. Spectrum Filtered OFDM (f-OFDM)
2. Filtered Bank Multicarrier (FBMC)
3. Non-Orthogonal Multiple Access (NOMA)
4. Pattern Division Multiple Access (PDMA)
5. Low Density Spreading (LDS)
6. Sparse Code Multiple Access (SCMA)
7. Interleave-Division Multiple Access (IDMA)

Problems with OFDM

- ❑ Spectrum overflow \Rightarrow Need **guard bands**
- ❑ Entire band should use the **same subcarrier** spacing
- ❑ Entire time should use the **same symbol size** and cyclic prefix
- ❑ All users should strictly **time synchronize** in the uplink



Ref: P. Zhu, "5G Enabling Technologies," PIMRC, Sep 2014, 20 slides, http://www.ieee-pimrc.org/2014/2014-09-03%205G%20Enabling%20Technologies%20PIMRC%20Huawei_Final.pdf

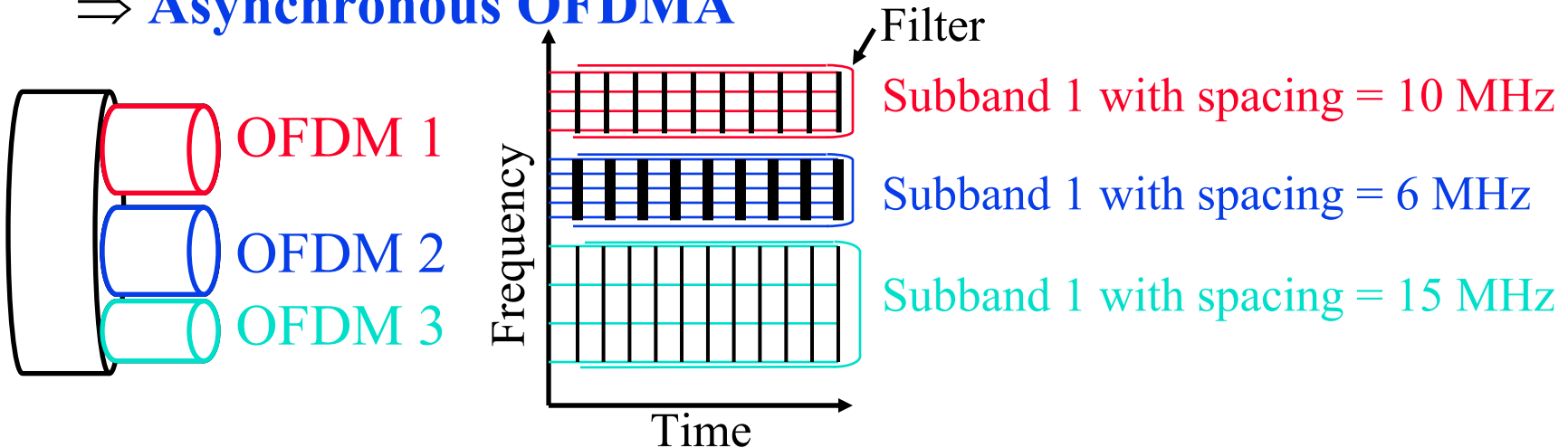
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Spectrum Filtered OFDM (f-OFDM)

- ❑ Band divided into multiple subbands
- ❑ Each subband may use different OFDM parameters optimized for the application: Frequency spacing, cyclic prefix, ...
- ❑ Each subband spectrum is **filtered** to avoid inter-subband interference \Rightarrow Spectrum filtered
- ❑ Different users (subbands) do not need to be time synchronized \Rightarrow **Asynchronous OFDMA**



Ref: P. Zhu, "5G Enabling Technologies," PIMRC, Sep 2014, 20 slides, http://www.ieee-pimrc.org/2014/2014-09-03%205G%20Enabling%20Technologies%20PIMRC%20Huawei_Final.pdf

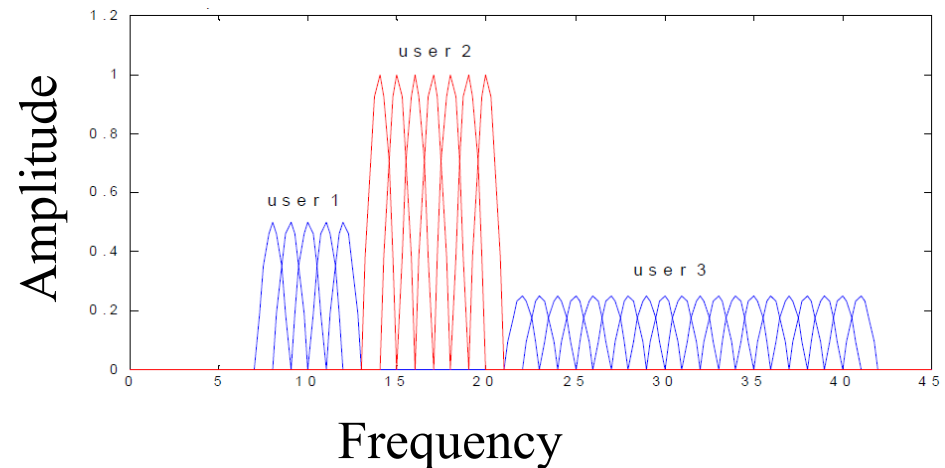
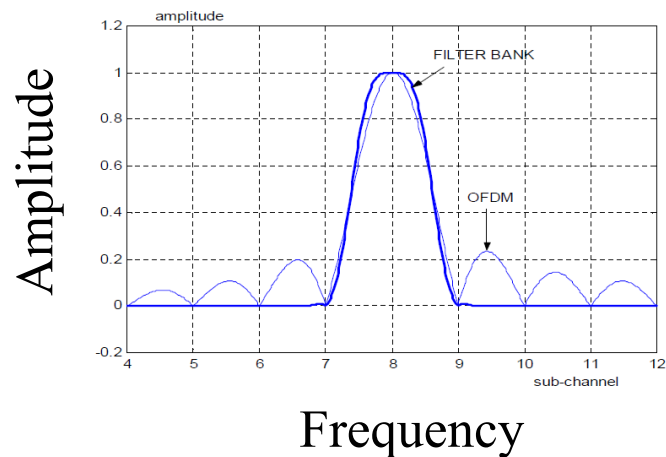
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Filtered Bank Multicarrier (FBMC)

- ❑ A filter is used to remove the subcarrier overflow
- ❑ No side lobes \Rightarrow No cyclic prefix needed
 \Rightarrow More bits/Hz
- ❑ Different users can have different subbands with different parameters



Ref: M. Bellanger, "FBMC physical layer – principle," June 2011, 13 slides,
[http://www.cept.org/Documents/se-43/500/SE43\(11\)Info06_FBMC-physical-layer-principle](http://www.cept.org/Documents/se-43/500/SE43(11)Info06_FBMC-physical-layer-principle)

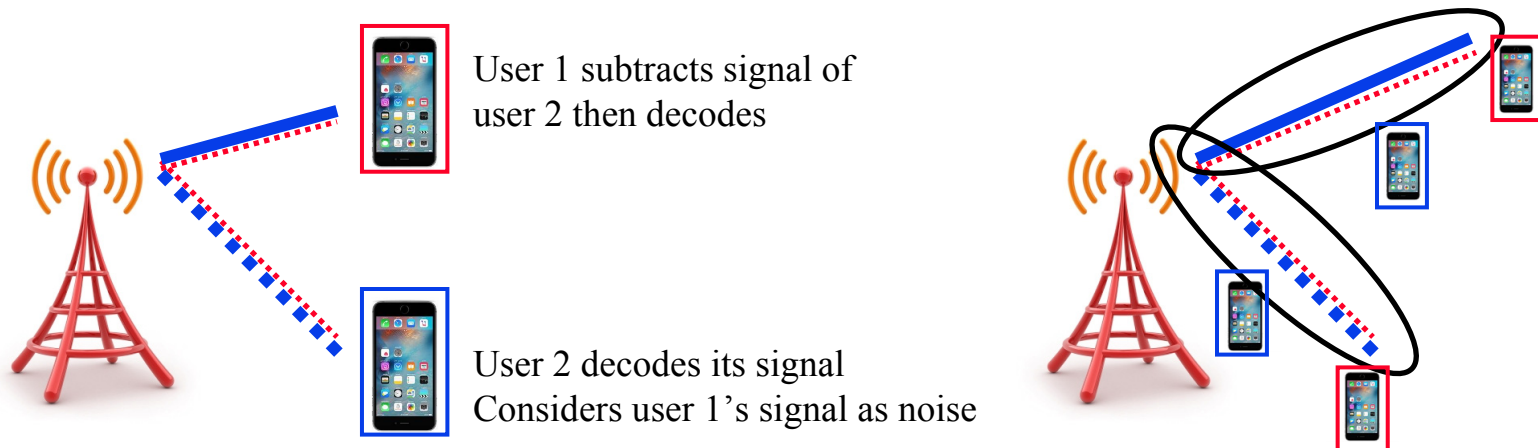
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Non-Orthogonal Multiple Access (NOMA)

- ❑ Users are distinguished by power levels
- ❑ Users with poor channel condition get higher power
- ❑ Users with higher power decode their signal treating others as noise
- ❑ Users with lower power subtract the higher powered signals before decoding
- ❑ Can also be used with beamforming and MIMO



Ref: G. Ding, et al, "Application of Non-orthogonal Multiple Access in LTE and 5G Networks,"

<https://pdfs.semanticscholar.org/a404/21a9762db528bfe848166765fee43e740c94.pdf>

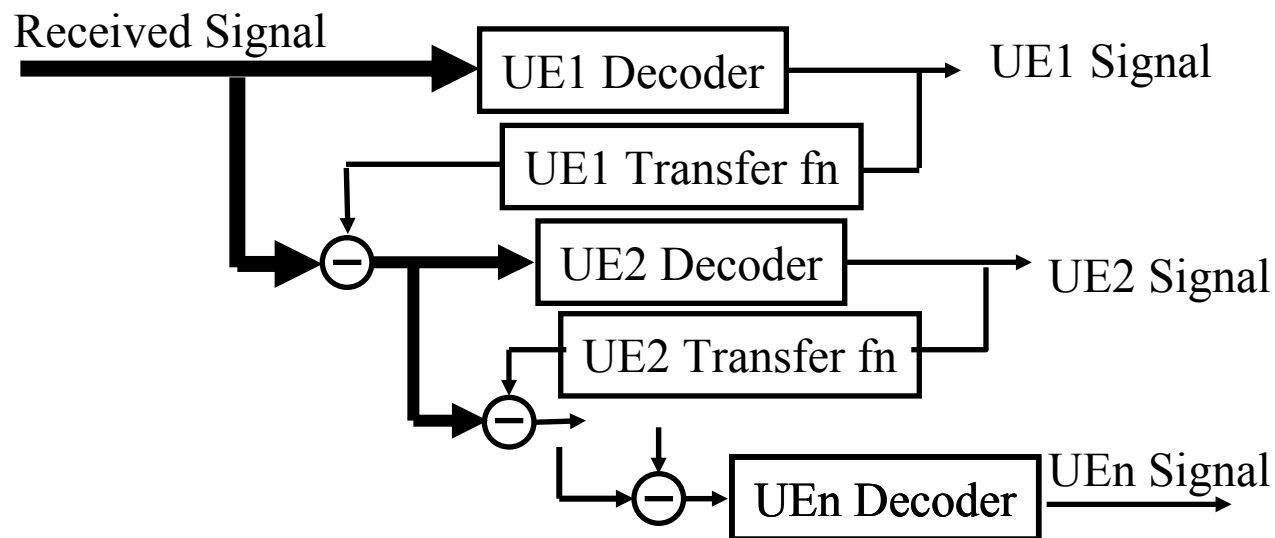
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Pattern Division Multiple Access (PDMA)

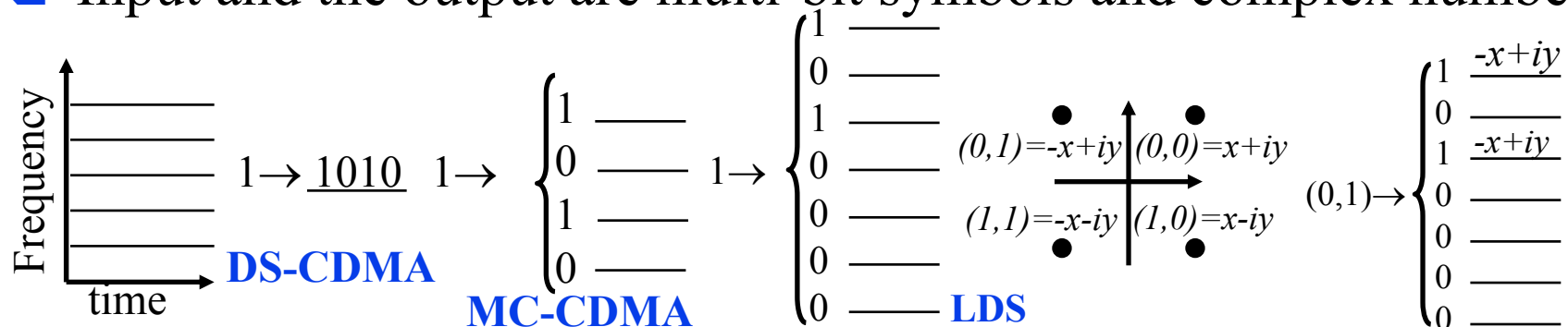
- ❑ A variation of NOMA
- ❑ The users detect the signal with highest signal, subtract its waveform \Rightarrow Successive Interference Cancellation (SIC)
- ❑ Can increase spectral efficiency by a factor between 1 and 2.



Ref: J. Zeng, et al, "Pattern Division Multiple Access (PDMA) for Cellular Future Radio Access," Intl Conf on Wireless Comm & Signal Proc (WCSP), Oct. 2015, 5 pp., <http://ieeexplore.ieee.org/xpl/login.jsp?tp=&arnumber=7341229>

Low Density Spreading (LDS)

- ❑ **Direct Sequence-CDMA:** Symbols are *spread* in time. Multiple users spread over at same time and frequency.
- ❑ **Multi-carrier CDMA:** Symbols are *spread* in frequency. Multiple users spread over same subcarriers at same time.
- ❑ **LDS:** Multi-carrier CDMA in which symbols are spread over large vectors most of whose elements are zero (sparse).
 - At each subcarriers, the number of interferers is small
 - Codes can even be randomly chosen
- ❑ Input and the output are multi-bit symbols and complex numbers.



Ref: M. AL-imari, et al., "Low Density Spreading Multiple Access," J. Inform Tech Software Eng, Vol 2, Issue 4, 2012,
<http://epubs.surrey.ac.uk/788182/1/Ready%20to%20Upload.pdf>

Sparse Code Multiple Access (SCMA)

- ❑ In stead of repeating the same symbol on different subcarriers (as in LDS), optimally coded symbols on different subcarriers.
- ❑ Symbols are mapped to higher-dimensional complex symbols and then mapped to subcarriers
 - K dimensions are spread over K subcarriers
- ❑ Codes are non-orthogonal \Rightarrow More code books and users can be supported than if limited to orthogonal
- ❑ Sparse \Rightarrow A lot of zeros in the code book \Rightarrow Easier to decode
 - All codes in one codebook have zeros in the same location
 - Each code book has K dimension of which N are zero.
- ❑ Good for unscheduled random access without polling and grant scheduling \Rightarrow Good for IoT
- ❑ SCMA combines spreading and coding

Ref: P. Zhu, "5G Enabling Technologies," PIMRC, Sep 2014, 20 slides, http://www.ieee-pimrc.org/2014/2014-09-03%205G%20Enabling%20Technologies%20PIMRC%20Huawei_Final.pdf

SCMA Example

- 2-bit symbols to 4-dimensional symbols with 2 zeros: $K=4$, $N=2$
- Number of possible mappings ${}^4C_2 = \binom{4}{2} = 6$ codebooks
- Six users can be supported over 4 subcarriers
- Each codebook has 2 zeros in the same rows for entire codebook.
- Combines spreading and coding → QAM encoder Spread → Spreading Encoder →

LDS

SCMA

frequency	User 1	User 2	User 3	User 4	User 5	User 6	
	00 01 10 11	00 01 10 11	00 01 10 11	00 01 10 11	00 01 10 11	00 01 10 11	
Subcarriers	$x_1 x_3 x_5 x_7$	$y_1 y_3 y_5 y_7$	$z_1 z_3 z_5 z_7$	$0 0 0 0$	$0 0 0 0$	$0 0 0 0$	x_i, y_i, \dots, w_i
	$x_2 x_4 x_6 x_8$	$0 0 0 0$	$0 0 0 0$	$u_1 u_3 u_5 u_7$	$0 0 0 0$	$w_1 w_3 w_5 w_7$	are complex
	$0 0 0 0$	$y_2 y_4 y_6 y_8$	$0 0 0 0$	$u_2 u_4 u_6 u_8$	$v_1 v_3 v_5 v_7$	$0 0 0 0$	numbers
	$0 0 0 0$	$0 0 0 0$	$z_2 z_4 z_6 z_8$	$0 0 0 0$	$v_2 v_4 v_6 v_8$	$w_2 w_4 w_6 w_8$	
Data Bits:	11↓	10↓	11↓	01↓	00↓	01↓	
	x_7	y_5	z_7	0	0	0	$x_7+y_7+z_7$
	x_8	0	0	u_3	0	w_3	$x_8+u_3+w_3$
	0	y_6	0	u_4	v_1	0	$y_6+u_4+v_1$
	0	0	z_8	0	v_2	w_4	$z_8+v_2+w_4$

Subcarriers

Ref: K. Au, et al, "Uplink Contention Based SCMA for 5G Radio Access," [http://arxiv.org/abs/1407.5495v1.pdf](http://arxiv.org/abs/1407.5495v1)

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Interleave-Division Multiple Access (IDMA)

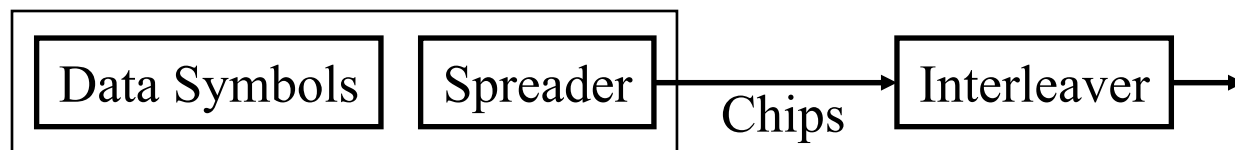
- ❑ **Interleaving**: Rearranging symbols according to a specified pattern \Rightarrow Reduces correlation between successive symbols

$[0, 1, 2, 3, 4, 5, 6, 7, 8, 9, 0, 1] \times [2, 4, 3, 1] \rightarrow [1, 3, 2, 0, 5, 7, 6, 4, 9, 1, 0, 8]$

- ❑ **DS-CDMA**: Symbols are interleaved then spread in to chips



- ❑ **IDMA**: Symbols spread and then interleaved.



- Different users have different interleaving pattern
- Low-Rate Spreading \sim DS-CDMA without spreading \Rightarrow High spectral efficiency

Ref: J. C. Fricke, et al, "An Interleave-Division Multiple Access Based System Proposal for the 4G Uplink," IST Summit, 2005, 5 pp.,

http://www.agilon.de/Dr_Hendrik_Schoneich/Publications/Fricke_IST_Summit_2005.pdf

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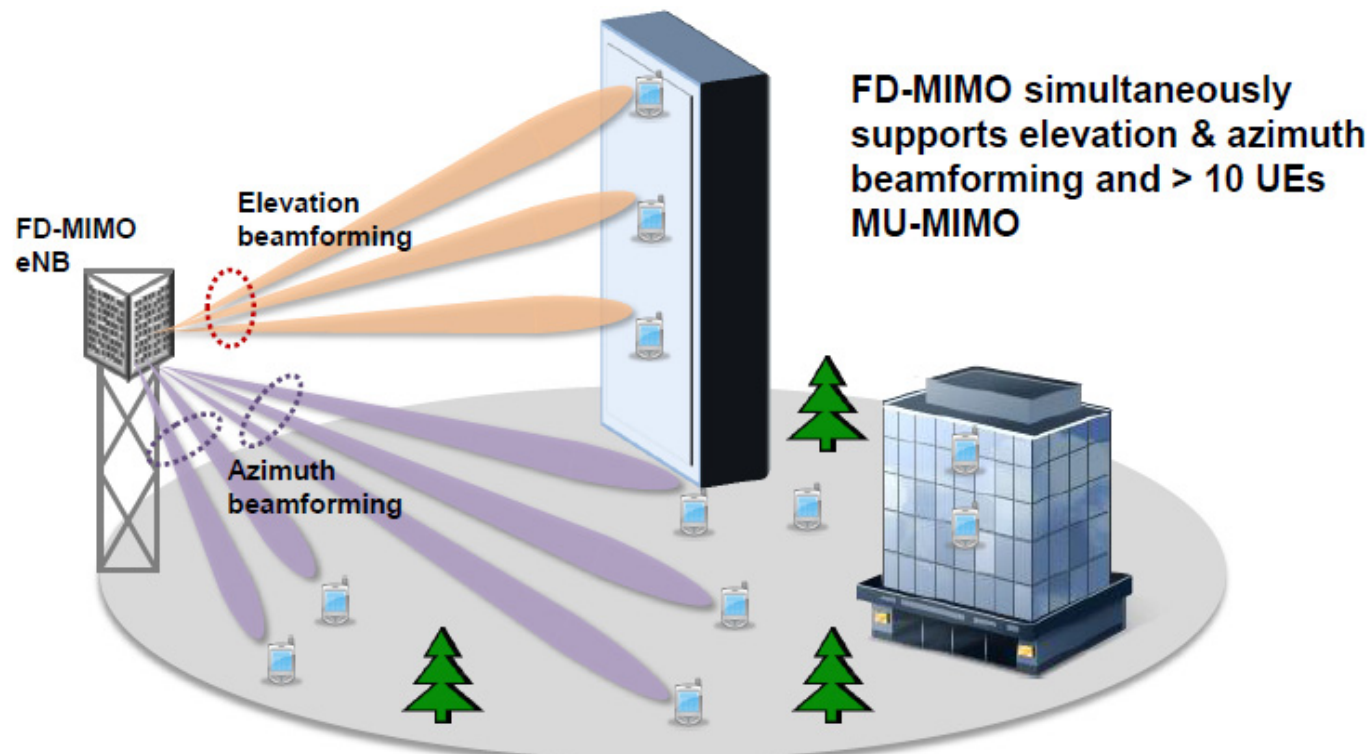
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New Efficient Spectrum Usage Techniques

1. 3D Beamforming and Massive MIMO
2. FDD-TDD Carrier Integration
3. Distributed Antenna Systems (DAS)
4. Simultaneous Transmission and Reception
5. Dynamic TDD
6. License Assisted Access (LAA)
7. Multimode Base Stations
8. Intelligent Multi-Mode RAT Selection
9. Higher order modulations in small cells

3D Beamforming

- Aka 3D-MIMO or Full-dimension MIMO (**FD-MIMO**)
- Infinite Antennas = **Massive MIMO**



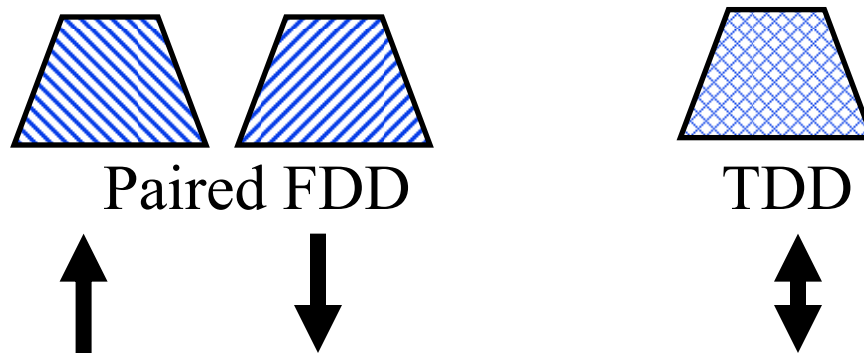
Ref: G. Xu, et al, "Full-Dimension MIMO: Status and Challenges in Design and Implementation," May 2014,
http://www.ieee-ctw.org/2014/slides/session3/CTW_2014_Samsung_FD-MIMO.pdf

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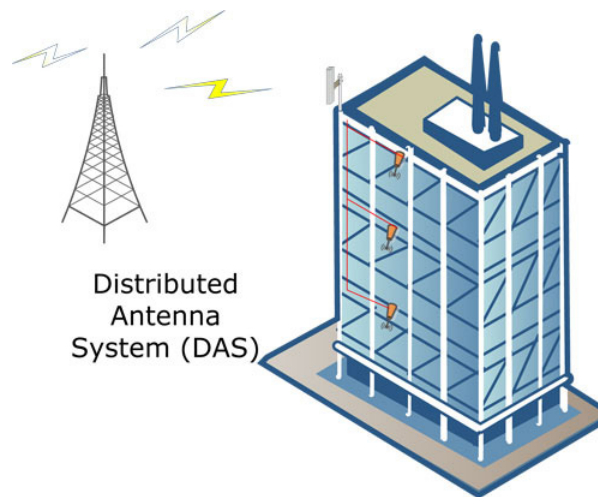
FDD-TDD Carrier Integration



- ❑ Can aggregate Down FDD band with TDD in downlink
- ❑ Aggregate Up FDD band with TDD in uplink
- ❑ Use only FDD in Primary Cell and TDD in Small Cell or vice versa
- ❑ Generally FDD bands are lower frequency \Rightarrow Used for primary
- ❑ In future, 32 carriers could be aggregated

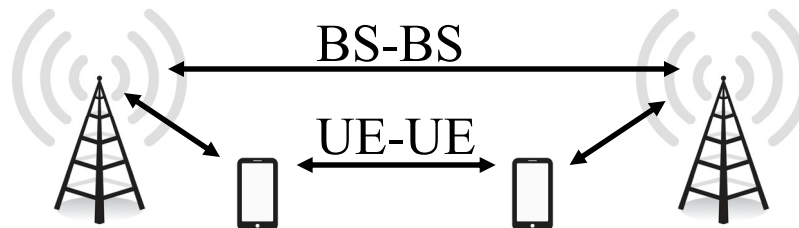
Distributed Antenna Systems (DAS)

- ❑ Multiple antennas connected via cable
- ❑ Used for indoor coverage
- ❑ Need multiple cables for MIMO
- ❑ Some times the RF signal is converted to digital and transmitted over fiber optic cables and converted back to RF
⇒ Active DAS



Simultaneous Transmission and Reception

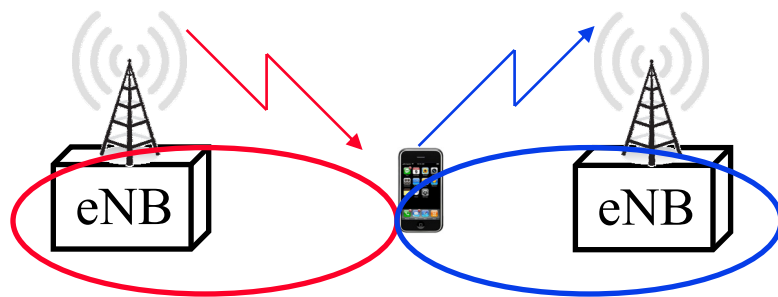
- ❑ Also known as “**Full Duplex**” on the same frequency
⇒ Doubles the throughput, reduces end-to-end latency, allows transmitters to monitor the channel
- ❑ Difficult because transmitted signal too strong and interferes with reception ⇒ FDD (Large gap between transmit and receive frequencies) or TDD (Half-Duplex)
- ❑ Solution: **Self-Interference cancellation (SIC)** in analog and digital domain
- ❑ Similar techniques can be used to overcome BS-BS or UE-UE interference
- ❑ SIC can also be used in Multi-radio systems (WiFi and Bluetooth)



Ref: W. Afifi and M. Krunz, "Adaptive Transmission-Reception-Sensing Strategy for Cognitive Radios with Full-duplex Capabilities," April 2010, 12 pp., <http://www2.engr.arizona.edu/~wessamafifi/DySPAN14.pdf>

Dynamic TDD

- Time Division Duplexing (TDD) allows varying uplink to downlink ratio
- All cells in an area must synchronize their UL/DL subframes pattern, otherwise mobile's transmission get interference from neighboring BS
- LTE allows 7 variations of UL/DL subframe patterns.
S=Switchover time from D to U



TDD Conf	TTI index									
	0	1	2	3	4	5	6	7	8	9
0	D	S	U	U	U	D	S	U	U	U
1	D	S	U	U	D	D	S	U	U	D
2	D	S	U	D	D	D	S	U	D	D
3	D	S	U	U	U	D	D	D	D	D
4	D	S	U	U	D	D	D	D	D	D
5	D	S	U	D	D	D	D	D	D	D
6	D	S	U	U	U	D	S	U	U	D

Ref: V. Pauli, Y. Li, E. Seidel, "Dynamic TDD for LTE-A and 5G," Nomor Research GmbH, Sep 2015, 8 pp.,

http://nashville.dyndns.org:823/YourFreeLibrary/_ite/LTE%20advanced/WhitePaperNomor_LTE-A_5G-eIMTA_2015-09.pdf

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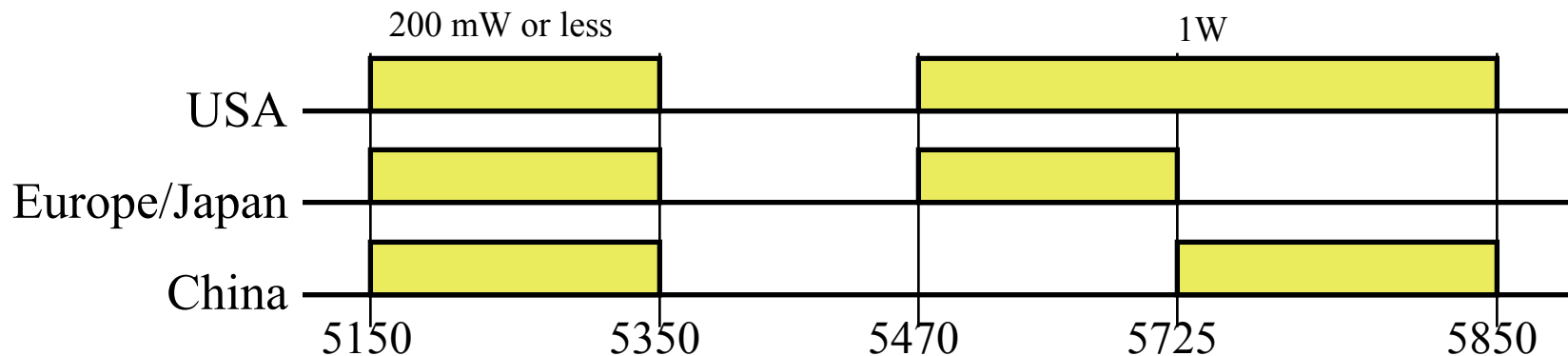
Dynamic TDD (Cont)

- ❑ Too many U's or D's in a row delay acks/nacks and affect the usefulness of HARQ.
- ❑ Release 12 added flexible "F" subframes that can be declared as S, D, or U \Rightarrow Can change every 10 ms.
- ❑ **Enhanced Interference Mitigation and Traffic Adaptation (eIMTA)**: Cells can change UL/DL pattern as needed. Mobiles asked to transmit at higher power if needed.
- ❑ This will be further enhanced for 5G

TTI index									
0	1	2	3	4	5	6	7	8	9
D	S	U	F	F	D	S/D	F	F	F

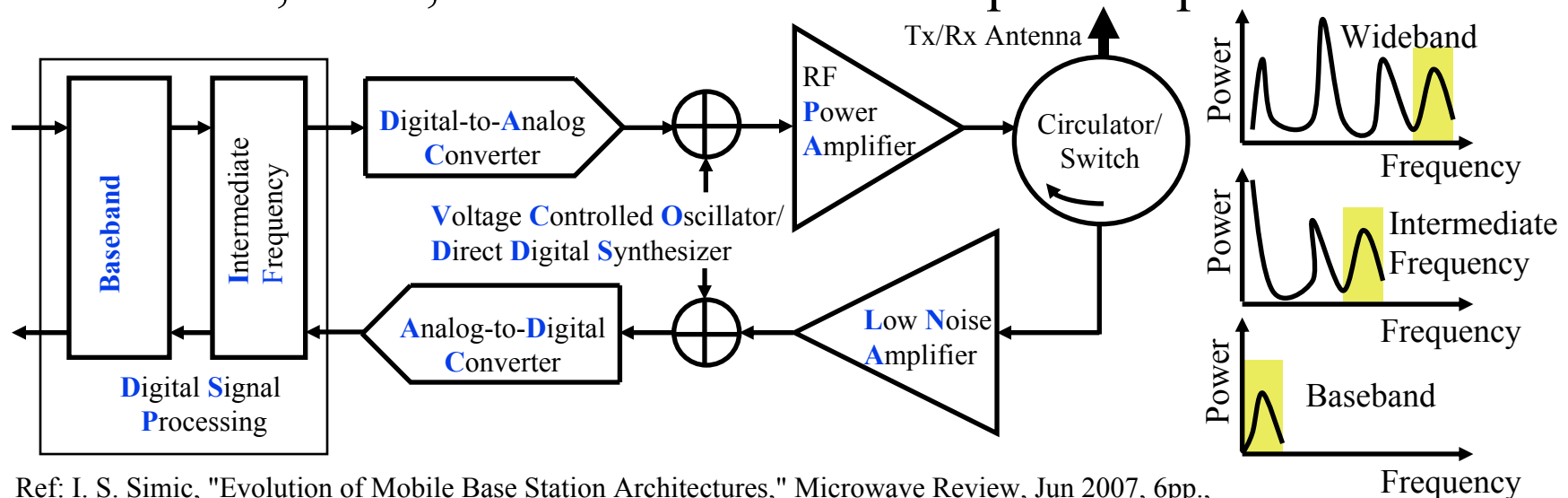
License Assisted Access (LAA)

- ❑ A.k.a. unlicensed LTE (LTE-U). Release 13.
- ❑ 5 GHz band for public hot-spots and in-building
- ❑ Different rules and bands in different countries, e.g.,
 - Avoid if a radar is operating
 - Can't block 20 MHz if using only 180 kHz
 - Transmit only if free. Recheck after maximum occupancy time \Rightarrow Can not transmit continuously as in standard LTE
- ❑ End-to-End LTE \Rightarrow Better integration than with WiFi
- ❑ May use as a downlink-only carrier aggregation



Multimode Base Stations

- ❑ 2G/3G/4G/WiFi/WiMAX, multi-band, multi-frequency, multiple modulation formats, multiple air interfaces
- ❑ Need “Software Define Radios (SDRs)”
 - Analog signal is sampled at a very high rate and processed using digital signal processing (DSP)
 - DAC, ADC, and PA are the most expensive parts



Ref: I. S. Simic, "Evolution of Mobile Base Station Architectures," Microwave Review, Jun 2007, 6pp.,
http://www.mtt-serbia.org.rs/microwave_review/pdf/Vol13No1-07-ISimic.pdf

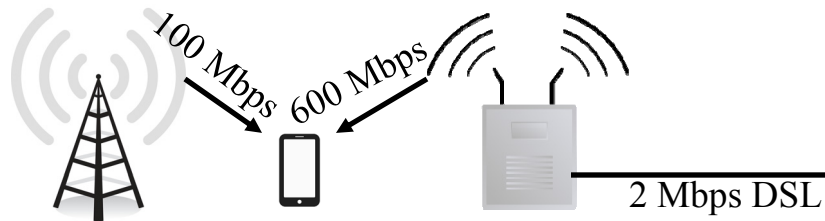
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Intelligent Multi-Mode RAT Selection

- ❑ Selecting between LTE, WiFi, 3G, ...
- ❑ Can not just select
 - Highest speed
 - Highest signal power
 - Cheapest
- ❑ Correct choice also depends upon the type of traffic: voice vs. data ⇒ Network assisted selection



Ref: 4G Americas, "Integration of Cellular and WiFi Networks," Sep 2013, 65 pp.,

http://www.4gamericas.org/files/3114/0622/2546/Integration_of_Cellular_and_WiFi_Networks_White_Paper-9.25.13.pdf

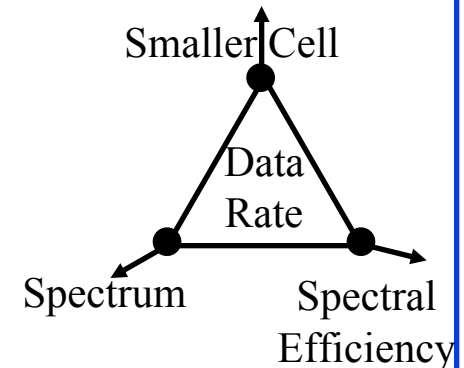
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Hyper-Dense Small Cells

- ❑ Used in extremely busy areas. Sports arena, Malls, Metro trains
⇒ Heterogeneous and Small Cell Network (HetSNets)
- ❑ Self-Organizing: Neighbor discovery, parameter setting,
- ❑ Backhaul Flexibility: DSL, HomePlug, Wireless, ...
- ❑ Mobility-Management:
 - Frequent Handovers Mitigation: Ping-pong. Network assisted as in intelligent multi-mode RAT selection
 - Forward Handover: Small cell can prefetch user context from the Serving cell
- ❑ Load balancing between small cells and with macro cell
- ❑ Multi-RAT Management: 2G/3G/4G/WiFi
- ❑ Privately Owned: Security and Incentive issues



Ref: I. Hwang, B. Song, and S. S. Soliman, "A Holistic View on Hyper-Dense Heterogeneous and Small Cell Networks," IEEE Communications Magazine, Jun 2013, pp. 20-27, <http://blog.sciencenet.cn/home.php?mod=attachment&id=62246>

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New Energy Saving Mechanisms

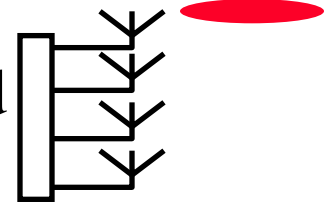
1. Discontinuous Transmission (DTX)
2. Antenna Muting
3. Cell on/off switching
4. Power Save Mode for IoT

Discontinuous Transmission (DTX)

- ❑ Do not transmit during silence \Rightarrow Resources can be reused by others
- ❑ Was difficult to do in static allocation like GSM
- ❑ Already part of LTE

Antenna Muting

- ❑ Base stations have multiple antenna for MIMO
- ❑ Antenna Muting: Turn off some antenna at low load
- ❑ Advantage: Energy savings
- ❑ Problem: Number of antenna is assumed fixed and each antenna has its own pilot signals
 - Space-Frequency Block Code (SFBC) is used to transmit different frequency components from different antenna
- ⇒ Throughput reduces
- ❑ Studies have shown significant energy savings with acceptable loss in throughput at low load.
- ❑ In 5G number of Antenna will become dynamic



Ref: P. Skillermark and P. Frenger, "Enhancing Energy Efficiency in LTE with Antenna Muting," 75th Vehicular Technology Conf. (VTC Spring), Yokohama, 2012, pp. 1-5, <https://pdfs.semanticscholar.org/29ec/17e00ccae04ae34b74f9e6e62c1e2c42d789.pdf>

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Cell On/Off Switching

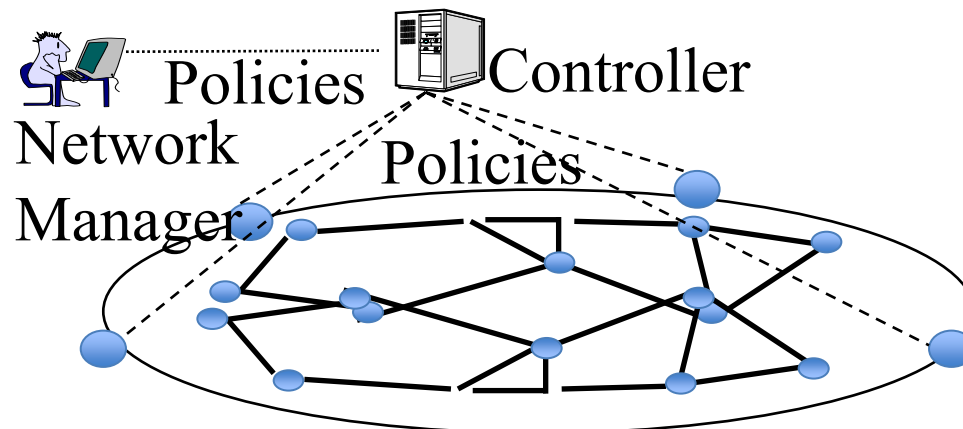
- ❑ Under low load a cell or small cell can be turned off
- ❑ Off cells broadcast “Discovery reference signals (DRS)” periodically so that they can be turned on if necessary
- ❑ Takes a few hundred ms
- ❑ Used for energy consumption during nights

CapEx/OpEx Reduction Techniques

1. Software Defined Networking (SDN)
2. Network Function Virtualization (NFV)
3. Mobile Edge Computing (MEC)
4. Cloud Radio Access Network (C-RAN)

Software Defined Networking (SDN)

1. **Abstract the Hardware:** No dependence on physical infrastructure. Software API.
2. **Programmable:** Shift away from static manual operation to fully configurable and dynamic
3. **Centralized Control of Policies:**
Policy delegation and management



Ref: D. Batista, et al, "Perspectives on software-defined networks: interviews with five leading scientists from the networking community" Journal of Internet Services and Applications 2015, 6:22, <http://www.cse.wustl.edu/~jain/papers/jisa15.htm>

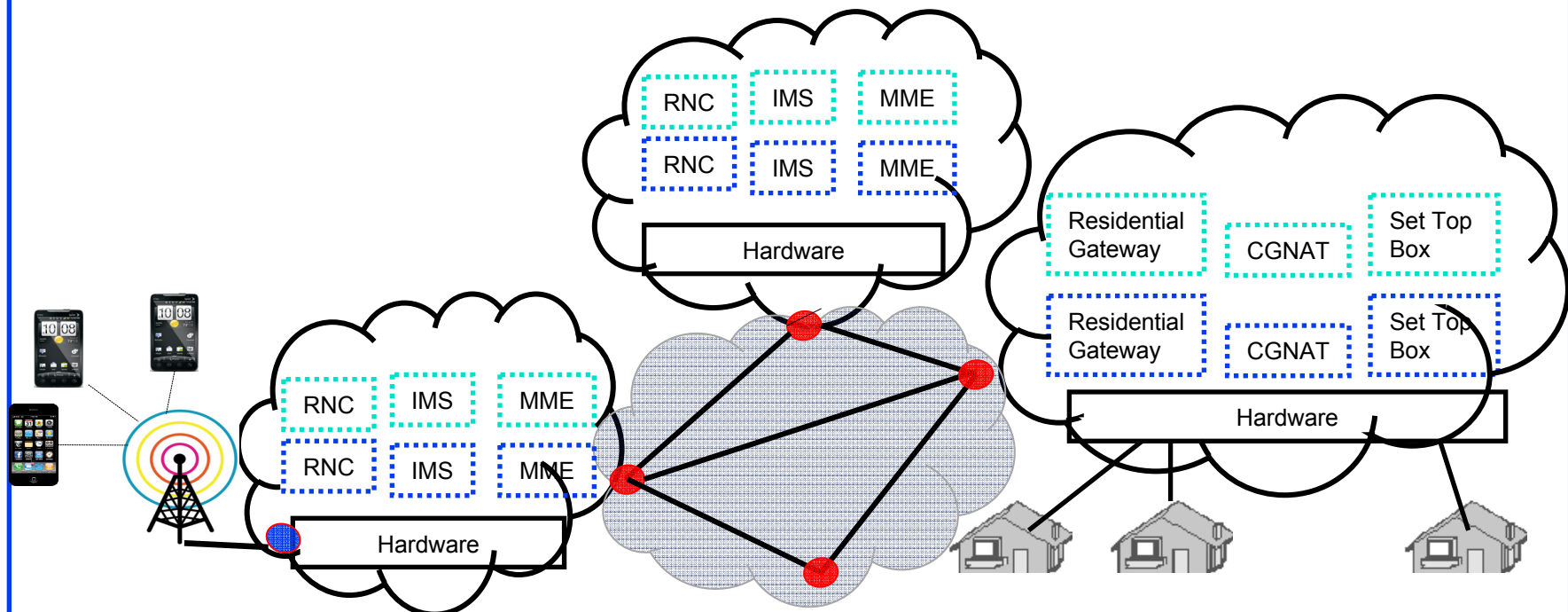
Washington University in St. Louis

<http://www.cse.wustl.edu/~jain/cse574-16/>

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Network Function Virtualization

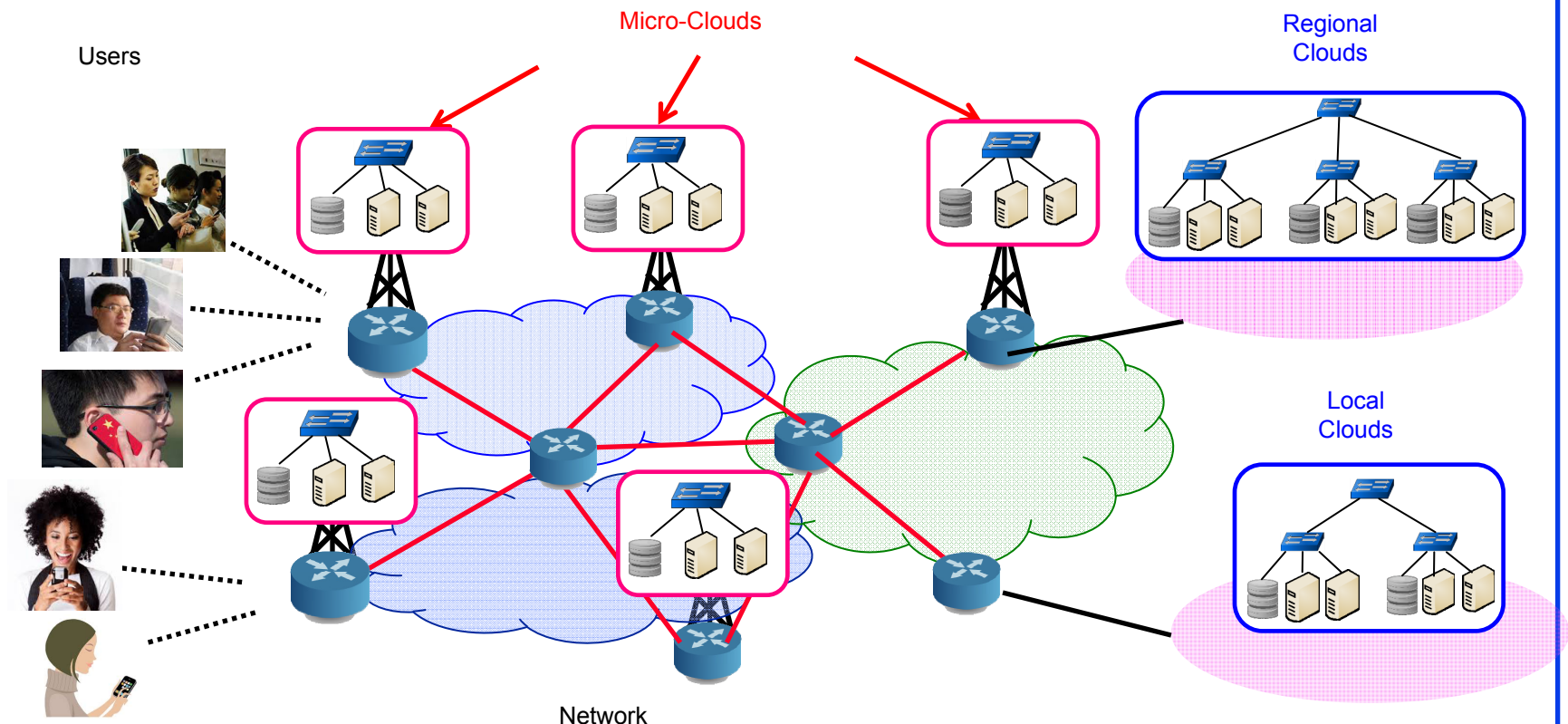
- ❑ Standard hardware is fast and cheap \Rightarrow No specialized hardware
- ❑ Implement all functions in software
- ❑ Virtualize all functions \Rightarrow Cloud \Rightarrow Create capacity on demand



Ref: Raj Jain, "SDN and NFV: Facts, Extensions, and Carrier Opportunities," AT&T Labs SDN Forum Seminar, April 10, 2014,
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Mobile Edge Computing (MEC)

- To service mobile users/IoT, the computation needs to come to edge \Rightarrow Mobile Edge Computing



Ref: L. Gupta, R. Jain, H. Chan, "Mobile Edge Computing - an important ingredient of 5G Networks," IEEE Softwarization Newsletter, March 2016, <http://sdn.ieee.org/newsletter/march-2016/mobile-edge-computing-an-important-ingredient-of-5g-networks>

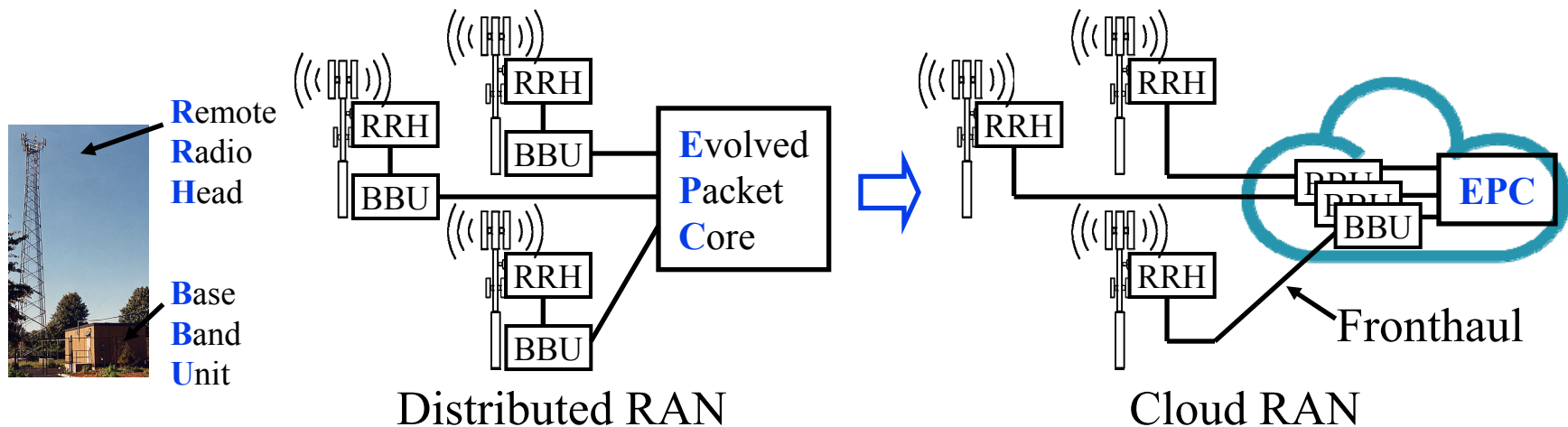
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Cloud Radio Access Network (C-RAN)

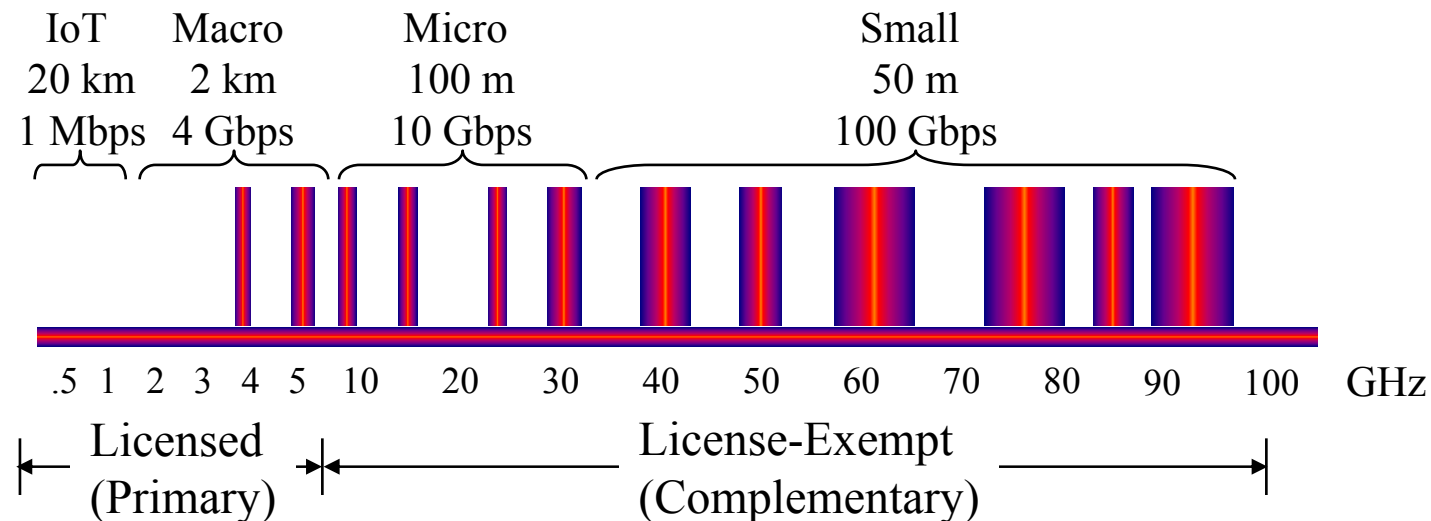
- ❑ Centralize baseband processing in a cloud
- ❑ Need to carry high-bit rate signal (after A-to-D conversion) from tower to cloud site ~ 10 Gbps
- ❑ Optical fiber, 10 Gbps Ethernet, Microwave can be used depending upon the distance ~ 1-20 km of **fronthaul**
- ❑ Particularly good for dense small cells. Multi-provider support.



Ref: C. I, et al, "Recent Progress on C-RAN Centralization and Cloudification," IEEE Access, Vol. 2, 2014, pp. 1030-1039,
<http://ieeexplore.ieee.org/iel7/6287639/6514899/06882182.pdf?arnumber=6882182>

New Spectrum

- ITU estimates 900-1420 MHz required for 4G/5G by 2020 and 440-540 MHz required for 2G/3G and their enhancements



Ref: P. Zhu, "5G Enabling Technologies," PIMRC, Sep 2014, 20 slides, http://www.ieee-pimrc.org/2014/2014-09-03%205G%20Enabling%20Technologies%20PMIRC%20Huawei_Final.pdf

Ref: ITU-R M.2290-0, "Future Spectrum Requirements estimate for Terrestrial IMT," Dec 2013, http://www.itu.int/dms_pub/itu-r/opb/rep/R-REP-M.2290-2014-PDF-E.pdf

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<http://www.cse.wustl.edu/~jain/cse571-16/>

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Above 6 GHz

- ❑ Free-space loss increases in proportion to square of frequency and square of distance. 88 dB loss with 30 GHz at 20 m \Rightarrow 10-100 m cell radius
- ❑ Outdoor-to-Indoor: Glass windows add 20-40 dB
- ❑ Mobility: Doppler shift is proportional to frequency and velocity. Multipath results in varying Doppler shifts \Rightarrow Lower mobility
- ❑ Wide Channels: Duplex filters cover only 3-4% of center frequency \Rightarrow Need carrier aggregation.
- ❑ Antenna: 8x8 array at 60 GHz is only 2cm x 2cm. A/D and D/A converters per antenna element may be expensive
- ❑ 2 Gbps to 1 km is feasible using mm waves

Ref: ITU-R M2376-0, "Technical Feasibility of IMT in bands above 6 GHz," July 2015,
http://www.itu.int/dms_pub/itu-r/opb/rep/R-REP-M.2376-2015-PDF-E.pdf

Washington University in St. Louis

<http://www.cse.wustl.edu/~jain/cse574-16/>

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Application Specific Improvements

- ❑ Internet of Things
- ❑ Video

LTE Applications

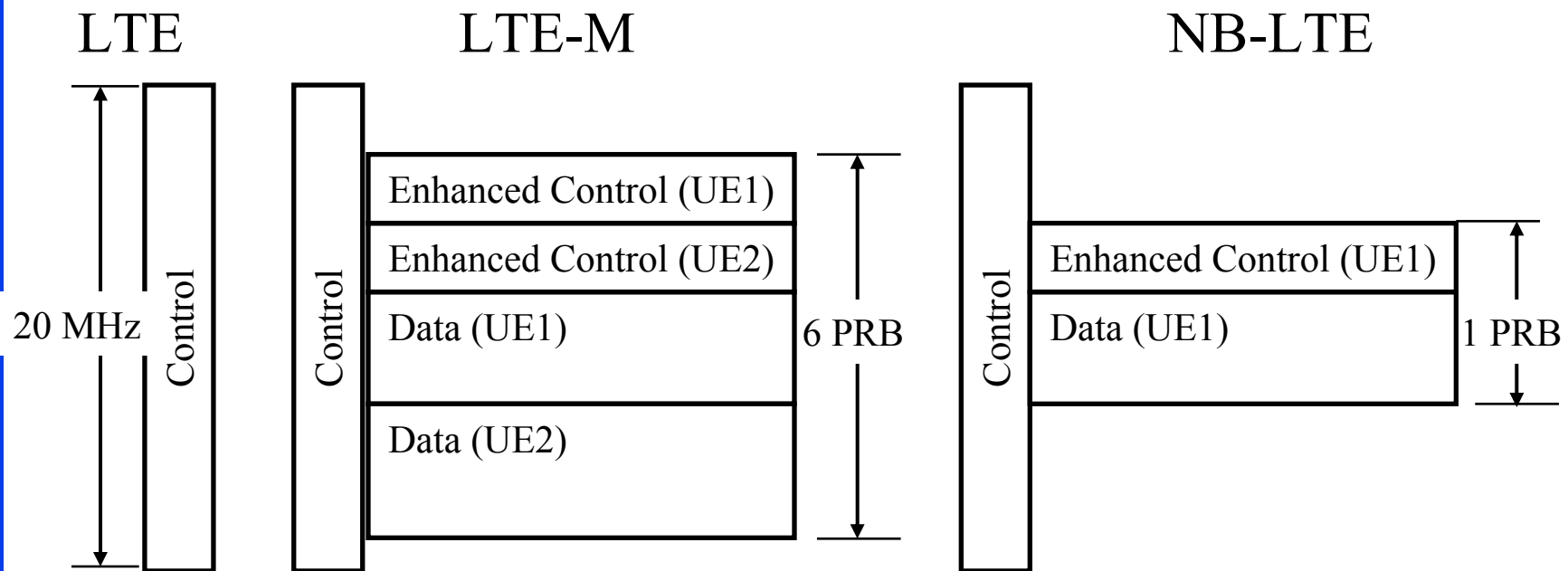
- ❑ Machine Type Communication (MTC): M2M or IoT
 - Versus current Human Type Communication (HTC)
 - GSM and HSPA modems for ~\$5 are potential choices
 - Extended Coverage GSM (EC-GSM): Half-duplex FDD, Power Saving Mode (Same as LTE), 20 dB enhancements in link budget (total 164 dB) in R13 \Rightarrow 7x range for low rate
- ❑ Device-to-Device (D2D): Proximity services (Nearby search), enable direct device-to-device communication if nearby.
 - Low Latency D2D: Vehicular networking
- ❑ Group Communication: Public Safety (Fire, Police), push-to-talk
- ❑ Enhanced Multimedia Broadcast Multicast System (eMBMS): Broadcast Services (TV)

Machine Type Communication

- ❑ **LTE Low-cast (Category 0) UE:** In Release 12.
 - Single Antenna
 - Reduced peak rate up to 1 Mbps
 - Half-Duplex \Rightarrow No duplex filter
 - Power saving mode (PSM)
- ❑ **MTC LTE (LTE-M) (Category -1) UE:** In Release 13.
 - 1 Mbps using 1.4 MHz = 6 Physical Resource Blocks (PRB)
 - All power on fewer subcarriers
 - \Rightarrow **Power Spectral Density (PSD)** Boosting
 - \Rightarrow 15 dB reduction in link budget by PSD and repetition
 - \Rightarrow Allows UEs in basements and indoors
 - Reduced Tx power to 20 dBm \Rightarrow integrated amplifier
- ❑ **Narrow Band LTE (NB-LTE)** introduced Category -2 UE:
 - 128 kbps using 200 kHz band = Single PRB
 - 23 dBm power (required to maintain the link budget)
- ❑ Both LTE-M and NB-LTE UEs use single RF chain

Signaling Enhancements for MTC

- ❑ Enhanced Physical Downlink Control Channel (EPDCCH)
- ❑ LTE Cat 0 UE receive signaling in the entire 20 MHz band
- ❑ LTE-M UEs receive signaling in their 1.4 MHz band
- ❑ For NB-LTE UEs, signaling is part of the assigned PRB



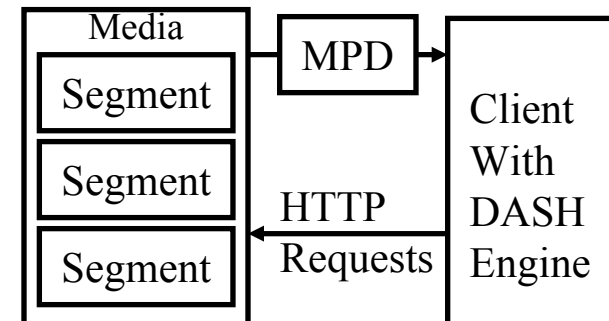
Power Saving Mode (PSM)

- ❑ Discontinuous Reception (DRX). Introduced in Release 12
- ❑ Allows UE to stay registered while sleeping
- ❑ UE's need to monitor resource allocation channel even if it has nothing to send or receive
- ❑ Connected mode DRX (cDRX): UE can sleep and periodically wake up to check the control channel
 - Short sleep cycle: 5 to 400 ms
 - Long sleep cycle: 20 ms to 2.5s (if no activity for 4 short cycles)

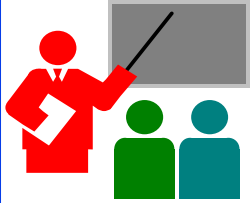


Dynamic Adaptive Streaming over HTTP (DASH)

- ❑ Video is the major component of mobile traffic
⇒ DASH provides an efficient method for video streaming
- ❑ Standard developed jointly by 3GPP, ISO, Open IPTV Forum
- ❑ Standard Web Servers: No changes required to servers, Content Distribution Networks (CDN), or HTTP protocol. HTTP passes easily through firewalls
- ❑ Mobile client controls what is downloaded using a “media presentation description (MPD)” file defined by DASH
- ❑ MPD contains URLs for segments
- ❑ Client requests segments as needed.
Allows fast forward, rewind, etc.



Ref: T. Stockhammer, "Dynamic Adaptive Streaming over HTTP – Standards and Design Principles," MMSys'11, Feb 2011, San Jose, CA, <https://svn-itec.uni-klu.ac.at/trac2/dash/export/58/trunk/documentation/02%20mmt21da-stockhammer.pdf>



Summary

1. Current IMT Vision document defines 5G in terms of 8 parameters: a peak rate up to 20 Gbps per user, User experienced rate of 100 Mbps, spectral efficiency 3 times of 4G, Mobility support to 500 km/h, a latency of 1 ms, a density of a million connections per m², energy efficiency 100x of 4G, and traffic capacity of 10 Mbps/m².
2. New radio multiplexing techniques include f-OFDM, FBMC, NOMA, PDMA, LDS, SCMA, and IDMA
3. New spectrum utilization techniques include 3D Beamforming, Massive MIMO, FDD-TDD Carrier Integration, DAS, Simultaneous Transmission and Reception, Dynamic TDD, LAA, Multimode Base Stations, Intelligent Multi-Mode RAT Selection, and Higher order modulations in small cells
4. New energy savings mechanisms include Discontinuous Transmission (DTX), Antenna Muting, Cell on/off switching, and Power Save Mode for IoT
5. Capex/OpEx reduction techniques include SDN, NFV, MEC, and C-RAN.
6. Application specific improvements include LTE-M, NB-LTE for IoT and DASH for video.
7. New license-exempt spectrum in 6GHz-100 GHz will complement the licensed spectrum.

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- ❑ https://en.wikipedia.org/wiki/LTE_in_licensed_spectrum
- ❑ [https://en.wikipedia.org/wiki/LTE_in_licensed_spectrum#License_Assisted_Access_\(LAA\)](https://en.wikipedia.org/wiki/LTE_in_licensed_spectrum#License_Assisted_Access_(LAA))
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- ❑ https://en.wikipedia.org/wiki/Network_function_virtualization
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- ❑ <https://en.wikipedia.org/wiki/C-RAN>
- ❑ https://en.wikipedia.org/wiki/Small_cell
- ❑ https://en.wikipedia.org/wiki/Distributed_antenna_system
- ❑ https://en.wikipedia.org/wiki/Dynamic_Adaptive_Streaming_over_HTTP
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Acronyms

- ❑ 3GPP 3rd Generation Partnership Project
- ❑ ADC Analog-to-Digital Converter
- ❑ API Application Programming Interface
- ❑ AWGN Additive White Gaussian Noise
- ❑ BBU Broadband Unit
- ❑ BS Base Station
- ❑ CapEx Capital Expenditure
- ❑ CDMA Code Division Multiple Access
- ❑ CDN Content Distribution Networks
- ❑ cDRX Connected mode discontinuous reception
- ❑ CGNAT Carrier Grade Network Address Translator
- ❑ DAC Digital-to-Analog Converter
- ❑ DAS Distributed Antenna Systems
- ❑ DASH Dynamic Adaptive Streaming over HTTP
- ❑ dB DeciBel
- ❑ dBm DeciBel Milliwatt

Acronyms (Cont)

- ❑ DL Downlink
- ❑ DRS Discovery reference signals
- ❑ DRX Discontinuous Reception
- ❑ DS-CDMA Direct Sequence Code Division Multiple Access
- ❑ DSL Digital Subscriber Line
- ❑ DSP Digital signal processing
- ❑ DTX Discontinuous Transmission
- ❑ eIMTA Enhanced Interference Mitigation and Traffic Adaptation
- ❑ eMBMS Enhanced Multimedia Broadcast Multicast System
- ❑ eNB Evolved Node-B
- ❑ EPC Evolved Packet Core
- ❑ EPDCCH Enhanced Physical Downlink Control Channel
- ❑ FBMC Filtered Bank Multicarrier
- ❑ FD-MIMO Full Dimension MIMO
- ❑ FDD Frequency Division Duplexing
- ❑ GHz Giga Hertz

Acronyms (Cont)

- ❑ GSM Global System for Mobile Telephony
- ❑ HARQ Hybrid Automatic Repeat Request
- ❑ HetSNets Heterogeneous Small Cell Network
- ❑ HSPA High Speed Packet Access
- ❑ HTC Human Type Communication
- ❑ HTTP Hyper-Text Transfer Protocol
- ❑ IDMA Interleave Division Multiple Access
- ❑ IEEE Institution of Electrical and Electronic Engineers
- ❑ IMS IP Multimedia System
- ❑ IMT-2020 5G
- ❑ IMT International Mobile Telecommunications
- ❑ IoT Internet of Things
- ❑ IPTV Internet Protocol Television
- ❑ ISO International Standards Organization
- ❑ ITU-R International Telecommunications Union- Radio
- ❑ ITU International Telecommunications Union

Acronyms (Cont)

- ❑ kHz Kilo Hertz
- ❑ LAA License Assisted Access
- ❑ LDS Low Density Spreading
- ❑ LTE-A Long-Term Evolution Advanced
- ❑ LTE-M Long-Term Evolution for Machine Type Communication
- ❑ LTE-U Long-Term Evolution Unlicensed
- ❑ LTE Long-Term Evolution
- ❑ MC-CDMA Multi-carrier Code Division Multiple Access
- ❑ MEC Mobile Edge Computing
- ❑ MHz Mega Hertz
- ❑ MIMO Multiple Input Multiple Output
- ❑ MME Mobility Management Entity
- ❑ MPD Media presentation description
- ❑ MTC Machine Type Communication
- ❑ mW Milli Watt
- ❑ NB-LTE Narrowband Long Term Evolution

Acronyms (Cont)

- ❑ NFV Network Function Virtualization
- ❑ NOMA Non-Orthogonal Multiple Access
- ❑ OFDM Orthogonal Frequency Division Multiplexing
- ❑ OFDMA Orthogonal Frequency Division Multiple Access
- ❑ OpEx Operational Expenditure
- ❑ PA Power Amplifier
- ❑ PDMA Pattern Division Multiple Access
- ❑ PHY Physical Layer
- ❑ PRB Physical Resource Blocks
- ❑ PSD Power Spectral Density
- ❑ PSM Power Saving Mode
- ❑ QAM Quadrature Amplitude Monitor
- ❑ QoE Quality of Experience
- ❑ QoS Quality of Service
- ❑ RAN Radio Access Network
- ❑ RAT Radio Access Technology

Acronyms (Cont)

- ❑ REC Recommendation
- ❑ REP Report
- ❑ RF Radio Frequency
- ❑ RNC Radio Network Controller
- ❑ RRH Remote Radio Head
- ❑ SCMA Sparse code multiple access
- ❑ SDN Software Defined Networking
- ❑ SDR Software Defined Radios
- ❑ SFBC Space-Frequency Block Code
- ❑ SIC Successive Interference Cancellation
- ❑ TDD Time Division Duplexing
- ❑ TV Television
- ❑ UE User Element
- ❑ UL Uplink
- ❑ URL Uniform Resource Locator
- ❑ USA United States of America

Acronyms (Cont)

- ❑ VTC Vehicular Technology Conference
- ❑ WCSP Wireless Communications and Signal Processing
- ❑ WiFi Wireless Fidelity
- ❑ WiMAX Worldwide Interoperability for Microwave Access

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